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Outer Dowsing Offshore Wind Farm Marine Mammal Baseline Characterisation

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1 Introduction

The purpose of this document is to provide a characterisation of the baseline environment to understand the range of species, the abundance and the density of marine mammals that could potentially be impacted by the Outer Dowsing Offshore Wind Farm (the Project). The baseline data have been compiled through a combination of literature reviews and data obtained from site-specific surveys. The abundance and density estimates identified in this baseline characterisation form the basis of the quantitative impact assessment presented in the Environmental Impact Assessment (EIA).

The key marine mammal species considered (based on the results of the site-specific surveys at the Project) are harbour porpoise (*Phocoena phocoena*), bottlenose dolphins (*Tursiops truncatus*), white-beaked dolphins (*Lagenorhynchus albirostris*), minke whales (*Balaenoptera acutorostrata*), harbour seals (*Phoca vitulina*) and grey seals (*Halichoerus grypus*).

Other marine mammals that have been sighted in the east coast of England but are considered to be only occasionally or rarely present (Reid *et al.*, 2003) include: common dolphins (*Delphinus delphis*), fin whales (*Balaenoptera physalus*) and humpback whales (*Megaptera novaeangliae*).

2 Study Area

The Project marine mammal study area varies depending on the species, considering individual species ecology and behaviour. The marine mammal study area has been defined at two spatial scales:

- Regional Scale study area: provides a wider geographic context in terms of the species present and their estimated densities and abundance. This scale defines the appropriate reference populations for the assessment, as defined by the species-specific Management Units (MUs) (IAMMWG, 2023). The regional study area for each species is as follows:
 - Harbour porpoise: North Sea (MU);
 - Bottlenose dolphin: Greater North Sea MU;
 - White-beaked dolphin: Celtic and Greater North Seas MU;
 - Minke whale: Celtic and Greater North Seas MU;
 - Harbour seals: Southeast England MU; and
 - Grey seals: combined Southeast and Northeast England MUs.
- The Project study area: includes the survey area for the Project site-specific surveys (the AfL array area + 4 km buffer (referred to as the "project survey area") (Figure 2-1) to provide an indication of the local densities of each species across the wind farm array area.

The marine mammal study area (regional MUs) is shown in Figure 2.2.

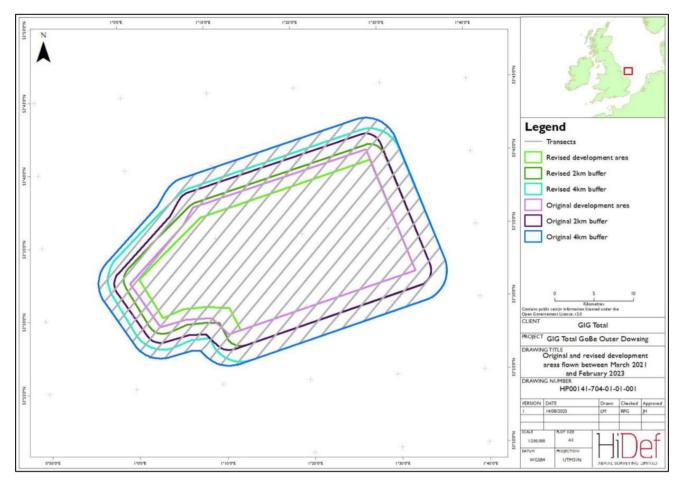


Figure 2-1 Project site-specific survey area (HiDef, 2023). Note: the "Original development area" is the AfL array area.

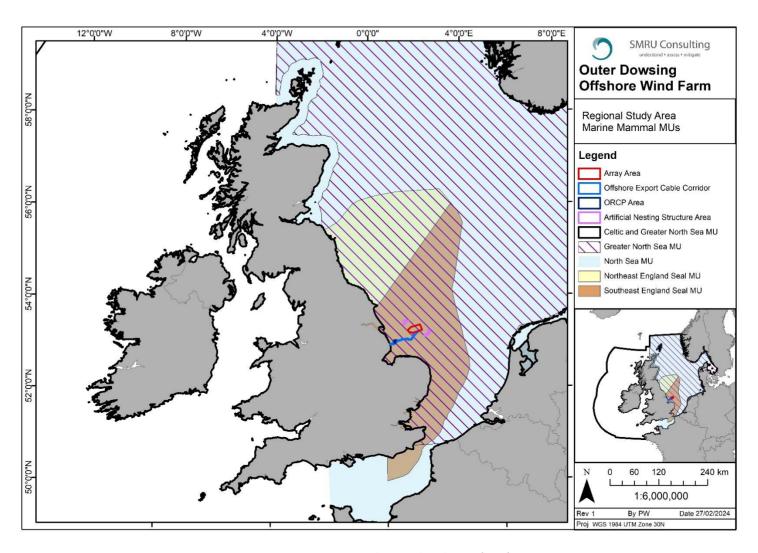


Figure 2.2 Marine mammal regional study area (MUs).



3 Protected Areas

There are several protected areas (Special Areas of Conservation, SACs, and Marine Protected Areas, MPAs) for marine mammals within their respective MUs (Table 3.1 and Figure 3-1). The Project array area is partly located within the summer portion of the Southern North Sea SAC for porpoise and is in relatively close proximity to the Humber Estuary SAC for grey seals and The Wash SAC for harbour seals. Given that the MUs vary in size, it should be noted that not all of these protected areas are located within English waters.

Table 3.1 Designated protected areas for marine mammals.

Protected Area	Designation	Species	Minimum distance from the Project array area (km)
Southern North Sea	SAC	Harbour porpoise (primary reason)	Partially overlaps
The Wash and North Norfolk Coast	SAC	Harbour seal (primary reason)	48 km
Humber Estuary	SAC	Grey seal (qualifying feature)	55 km
Berwickshire and North Northumberland Coast	SAC	Grey seal (primary reason)	260 km
Southern Trench	MPA	Minke whale (primary reason)	450 km
Moray Firth	SAC	Bottlenose dolphin (primary reason)	580 km
Sea of the Hebrides	MPA	Minke whale (primary reason)	910 km



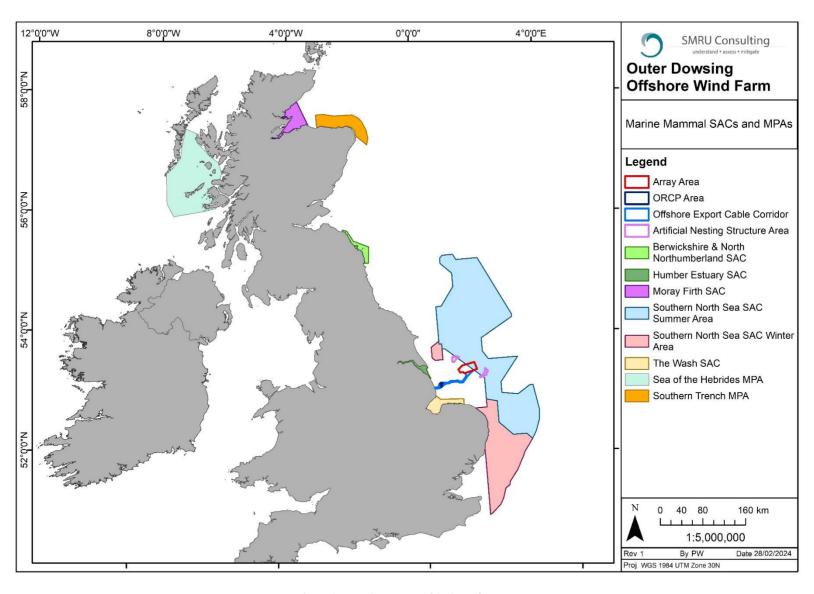


Figure 3-1 Marine mammal SACs and MPAs.



4 Data Sources

Table 4.1 and the following sections provide detail on the key data sources used to characterise the baseline study area for marine mammals in relation to the Project. This section details the survey and analysis methodology implemented in each study and the potential limitations associated with these. The actual results of the surveys in terms of the species presence are detailed in subsequent species-specific sections.

The data sources used to characterise the marine mammal baseline are in line with those recommended by Natural England (2021) (see Table 4.1).

Table 4.1 Marine mammal baseline datasets.

SOURCE	DESCRIPTION	SPATIAL COVERAGE	
Site-specific aerial surveys for the Project (HiDef	Site-specific baseline characterisation digital video aerial surveys (March 2021 – February 2023). Density estimates were calculated for each marine mammal species.	The AfL array area plus 4 km buffer.	
Aerial Surveying Limited, 2023)	Note: An additional 6 surveys were conducted March 2023 – August 2023. The marine mammal species sighted are provided but no density estimates have been calculated.		
The Project geophysical surveys (Seiche, 2022b, a)	Marine Mammal Observer (MMO) and Passive Acoustic Monitoring (PAM) detections during surveys conducted between August 2021 – January 2022.	The AfL array area plus 500 m buffer, plus coverage of the Silver	
	MMO and PAM detected during surveys conducted between April 2022 and July 2022.	Pit area to the west of the Project array.	
Small Cetaceans in European Atlantic waters and the North Sea (SCANS) III (Hammond <i>et</i> <i>al.</i> , 2021)	Combination of vessel and aerial surveys conducted in 2016.	North Sea and European Atlantic continental shelf waters. The Project is located in aerial survey block O.	
SCANS IV (Gilles et al., 2023)	Combination of vessel and aerial surveys conducted in summer 2022.	North Sea and European Atlantic continental shelf waters. The Project is located in aerial survey block NS-C.	
Joint Cetacean Protocol (JCP) Phase III (Paxton et al., 2016)	38 data sources (aerial, vessel and land-based surveys) between 1994-2010. Species abundance estimates provided for each season for specific areas of commercial interest for all offshore development types (i.e., Oil & Gas, Offshore Renewables, Decommissioning Projects).	UK waters. Nearest areas of commercial interest for which data are available are Norfolk Bank and South Dogger Bank.	



SOURCE	DESCRIPTION	SPATIAL COVERAGE
JCP Data Analysis Tool	The JCP Phase III Data Analysis Product will be used to extract abundance estimates averaged for summer 2007-2010 and scaled to the SCANS III estimates for user specified areas.	UK waters. User specified area for data extraction.
Marine Ecosystems Research Programme (MERP) (Waggitt <i>et al.</i> , 2020)	Species distribution maps available at monthly and 10 km ² density scale. Collation of data from JCP (aerial and vessel), 1980 – 2018.	European Atlantic Waters.
Harbour porpoise densities (Heinänen and Skov, 2015)	Vessel and aerial surveys, 1994 – 2011.	UK waters.
Sea Watch Foundation Sightings	Sightings recorded.	Lincolnshire.
Nearby OWF surveys	Site-specific data collated at nearby offshore wind farms: Hornsea Projects Dudgeon & Sheringham Shoal Extensions Race Bank Triton Knoll Sheringham Shoal Dudgeon Lincs Lynn Inner Dowsing	Coverage includes the offshore wind farm array areas plus buffer (varies by site).
Special Committee on Seals (SCOS) reports (SCOS, 2023)	Scientific Advice on Matters Related to the Management of Seal Populations. This outlines the current status of both harbour and grey seals in the UK.	UK wide.
Seal haul-out data provided by the Sea Mammal Research Unit (SMRU)	August haul-out surveys of harbour and grey seals. Latest haul-out counts available are from 2021.	UK wide.
Seal haul-out data in the Greater Thames Estuary (Cox et al., 2020)	Seal population data for the Greater Thames Estuary between 2003 to 2019.	Greater Thames Estuary.



SOURCE	DESCRIPTION	SPATIAL COVERAGE
Grey seal pup counts (provided by SMRU)	Surveys of the main UK grey seal breeding colonies annually between mid-September and late-November to estimate the numbers of pups born at the main breeding colonies. Latest pup counts available are from 2021.	UK wide.
Seal telemetry data (provided by SMRU)	A total of 86 harbour seals have been tagged in the Southeast England MU since 2003. A total of 33 grey seals have been tagged in the Southeast England MU since 1988 and a further 31 have been tagged in the Northeast England MU.	UK wide.
Seal habitat preference maps (Carter <i>et al.</i> , 2020, Carter <i>et al.</i> , 2022)	Habitat modelling was used, matching seal telemetry data to habitat variables, to understand the species-environment relationships that drive seal distribution. Haul-out count data were then used to generate predictions of seal distribution at sea from all known haul-out sites. This resulted in predicted distribution maps on a 5x5 km grid. The estimated density surface gives the percentage of the British Isles at sea population (excluding hauled-out animals) estimated to be present in each grid cell at any one time during the main foraging season.	UK waters.
EU seal telemetry data	Telemetry data from various studies on grey (Brasseur et al., 2015a, Brasseur et al., 2015b, Vincent et al., 2017, Aarts et al., 2018) and harbour seals (Brasseur et al., 2012, Brasseur and Kirkwood, 2015, Vincent et al., 2017) tagged in the Netherlands, France and the Wadden Sea to assess connectivity with European sites.	

4.1 Site-specific surveys

4.1.1 Main surveys (March 2021 – February 2023)

The site-specific baseline characterisation surveys conducted for the Project consist of monthly high-resolution digital video aerial surveys conducted by HiDef Aerial Surveying Limited (HiDef). The surveys were conducted from March 2021 to February 2023; one survey was flown in most months, apart from March 2022 to September 2022 where bi-monthly surveys were conducted, resulting in a total of 31 surveys flown over the two years. The aim of these surveys for marine mammals is to collect data on the abundance and distribution of marine mammals to characterise the baseline to inform an EIA. Specifically, one objective was to obtain species specific density estimates for the site which can be used during the impact assessment to quantitatively predict the potential for impacts on each marine mammal species from construction, operation, and decommissioning. Full details of the site-specific surveys can be found in the survey report (HiDef (2023).

Survey transects were designed to cover the originally proposed AfL array area plus a 4 km buffer, resulting in a total survey area of 926 km² (Figure 2-1). Twenty-two transects were spaced 1.5 km apart and placed approximately perpendicular to the depth contours along the coast to reduce the variation in abundance estimates between transects by ensuring each transect was sampling a similar range of habitats. Surveys were undertaken using a specialist survey aircraft flown at approximately 550 m. The aircraft was equipped with



four HiDef Gen II cameras with a resolution of 2 cm Ground Sample Distance (GSD) which each sampled a strip of 125 m width. A separation between cameras of approximately 25 m resulted in a combined sampled width of 500 m within a 575 m strip. The same transect lines were flown during each survey but slight variations in effort occurred due to variable start and stop times and minor deviations in the flight path (Table 4.2). The survey design aimed to achieve a minimum of 15% site coverage and a site coverage of 16.0-16.5% was achieved during each survey (Table 4.2).

Data analysis for these surveys involved a two-stage process including a review of video footage with a 20% random sample used for audit, and then detected individuals were identified to species and/or species group level, also with 20% selected at random for auditing. Both stages in this audit process require 90% agreement to be achieved. Using non-parametric, bootstrap methods, species specific density estimates for the site were calculated including the corresponding standard deviation, 95% confidence intervals and coefficient of variance.

For harbour porpoise, the availability bias was then accounted for using data on the proportion of time tagged harbour porpoise spend at the surface (Teilmann *et al.*, 2013a). Due to variations in sea state and turbidity, the depth to which porpoise are visible for detection will differ both within and between surveys. Therefore, all porpoise detections were categorised as either "snapshot surfacing" (dorsal fin was clear of the water surface) or not, to determine the proportion of encounters where the animal was at the surface. The relative density estimate was then multiplied by the proportion of encounters at the surface and divided by the estimated time spent at the surface from Teilmann *et al.* (2013a) to derive the adjusted estimates of density and abundance. This process was not conducted for the other marine mammal species as correction factors for the time spent at the surface are not yet available for other species. Therefore, the data presented for other marine mammal species are relative abundance and density estimates only.

A total of 78.2% of the marine mammal sightings were identified to species level. The marine mammals identified to species level were: harbour porpoise, harbour seal, grey seal, minke whale and white-beaked dolphin. The remaining sightings were of unidentified seal species (21.0% of all marine mammal sightings) and unidentified seal/small cetacean species (0.8% of all marine mammal sightings).

Table 4.2 Survey effort across the development area plus 4 km buffer (HiDef, 2023).

Month	Number of transects analysed	Total length of transects analysed (km)	Area covered (km²)	% covered
22 Mar 2021	22	607.36	151.84	16.4
04 Apr 2021	22	607.88	151.97	16.4
12 May 2021	22	608.74	152.19	16.4
09 Jun 2021	22	605.53	151.38	16.4
24 Jul 2021	22	606.71	151.68	16.4
14 Aug 2021	22	608.53	152.13	16.4
07 Sept 2021	22	606.83	151.71	16.4
09 Oct 2021	22	608.92	152.23	16.4
02 Nov 2021	22	608.29	152.07	16.4
15 Dec 2021	22	606.51	151.63	16.4
06 Jan 2022	22	606.50	151.63	16.4
23 Feb 2022	22	606.59	151.65	16.4



Month	Number of transects analysed	Total length of transects analysed (km)	Area covered (km²)	% covered
44.14 2022	•			46.4
11 Mar 2022	22	606.59	151.65	16.4
22 Mar 2022	22	608.74	152.19	16.4
02 Apr 2022	22	609.15	152.29	16.5
15 Apr 2022	22	606.22	151.55	16.4
02 May 2022	22	607.75	151.94	16.4
17 May 2022	22	609.14	152.28	16.5
09 Jun 2022	22	607.01	151.75	16.4
21 Jun 2022	22	604.74	151.18	16.3
04 Jul 2022	22	607.55	151.89	16.4
16 Jul 2022	22	609.17	152.29	16.5
08 Aug 2022	22	608.66	152.16	16.4
23 Aug 2022	22	607.65	151.91	16.4
13 Sep 2022	22	609.01	152.27	16.5
25 Sep 2022	22	608.87	152.22	16.4
10 Oct 2022	22	607.94	151.98	16.4
07 Nov 2022	22	591.95	147.99	16.0
13 Dec 2022	22	603.76	150.94	16.3
26 Jan 2023	22	605.98	151.49	16.3
10 Feb 2023	22	608.31	152.01	16.4

4.1.2 Additional surveys (March 2023 – August 2023)

Additional six surveys were conducted between March 2023 and August 2023, following the same methodology as the main surveys. These additional surveys identified the following marine mammals to species level: grey seal, harbour porpoise and harbour seal. Densities have not been calculated, therefore these surveys simply serve to further confirm the key species present in the survey area.

4.2 The Project geophysical surveys

A geophysical survey of the AfL array area plus 500 m buffer (plus coverage of the Silver Pit area to the west of the array area) was undertaken on the MV *Guard Celena* from 20th August 2021 to 16th January 2022. During the survey, a total of 744 hours and 26 minutes of Marine Mammal Observer (MMO) effort and 733 hours 50 minutes of Passive Acoustic Monitoring (PAM) effort was conducted: this resulted in a total survey effort of 1,478 hours 16 minutes. There were visual observations of one harbour porpoise, two grey seals and one harbour seal (Seiche, 2022b). From 9th April 2022 to 23rd July 2022, additional surveys were conducted of the Project area. During the surveys, a total of 1,799 hours and 55 minutes was achieved for the MMO and PAM effort. There were visual observations of harbour porpoise, harbour seal, grey seal and unidentified seals (Seiche, 2022a). It should be noted that the results from the geophysical survey provide sightings information only to indicate the presence of species and no density estimates are available as part of this survey.

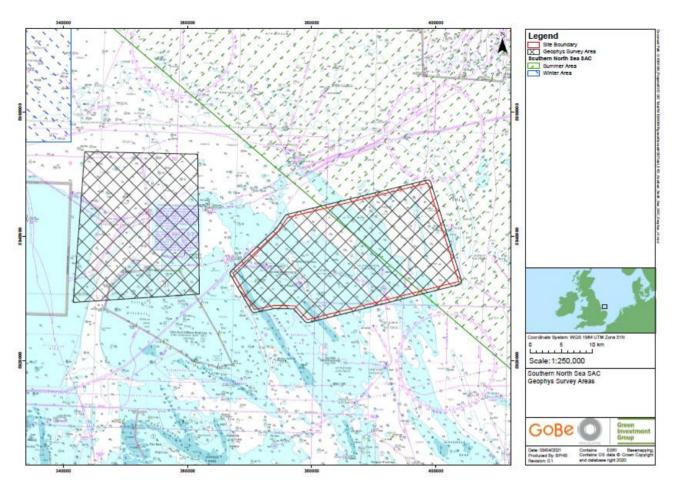


Figure 4-1 Geophysical survey areas (the AfL array area and the Silver Pit area).

4.3 Nearby OWF surveys

4.3.1 Greater Wash strategic area aerial surveys

Between November 2005 and September 2006, 14 ornithological aerial surveys were undertaken on behalf of the then Department of Trade and Industry (DTi)) (Department of Trade and Industry, 2006). Incidental sightings of marine mammals were recorded during the surveys, and therefore the data collected in blocks GW3, GW4 and GW5 have been used in the baseline characterisations for several OWFs in the area (Sheringham Shoal, Triton, Lincs) (Figure 4-2) (Scira Offshore Energy Limited, 2006, Centrica energy, 2010, RWE npower renewables, 2011). The methodology of the surveys was based on that recommended by Collaboration for Offshore Wind Research in the Environment (COWRIE) (Camphuysen *et al.*, 2004). Transects of 20-65 km were flown at 2 km intervals at approximate speeds of 200 km/h. The flight time was 4 hours centred around midday (GTM) and undertaken during good weather conditions (<15 knots wind speeds). As the focus of ornithological surveys are to collect data on bird species, the sightings presented for marine mammals from surveys such as these may not be representative, and therefore do not present density estimates.



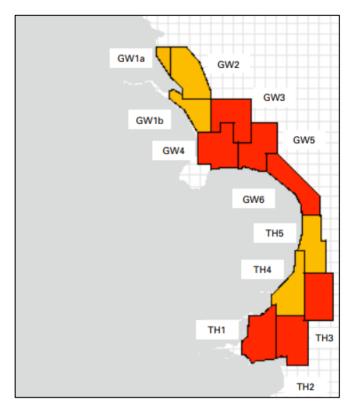


Figure 4-2 The Greater Wash Strategic Area survey blocks (Department of Trade and Industry, 2006).

4.3.2 Hornsea Offshore Wind Farms

4.3.2.1 Hornsea Four baseline surveys

Between April 2016 and March 2018, monthly site-specific aerial surveys were undertaken for the Hornsea Four OWF (Figure 4-3) (Orsted, 2021). In total, 24 surveys were conducted using an aircraft equipped with HiDef Gen II cameras with sensors set to a resolution of 2 cm GSD, sampling a strip of 125 m width with intervals of ~20 m. The survey design consisted of transects 2.5 km apart covering the Hornsea Four site, plus a 4 km buffer, resulting in a sampled area of 156.3 km² (10% coverage of the survey area). The surveys were undertaken in sea states 1 (calm – good visibility) to 6 (strong breeze –poor visibility), with the majority conducted in sea state four (moderate breeze) (51.0%). Data processing techniques used for these surveys were the same as those for the site-specific surveys for the Project (see section 4.1). Across the surveys, harbour porpoise, white-beaked dolphins and minke whales were recorded.



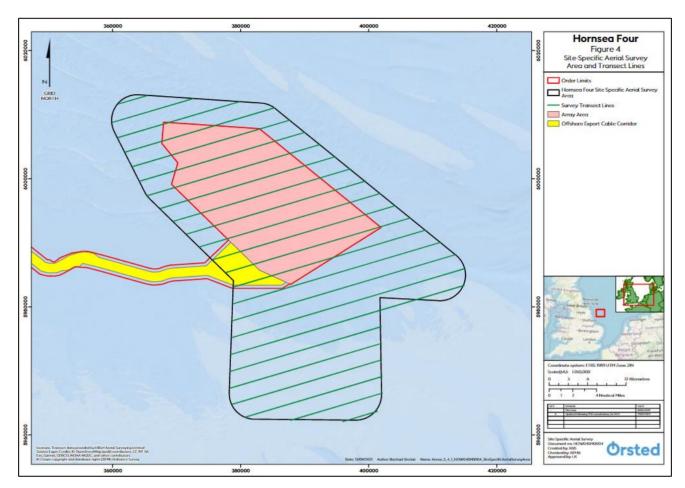


Figure 4-3 Hornsea Four site-specific baseline survey design (Orsted, 2021).

4.3.2.2 Former Hornsea Zone surveys

Between March 2010 and February 2013, ornithological and marine mammal boat-based surveys were undertaken within the Former Hornsea Zone, plus a 10 km buffer (Figure 4-4) (Orsted, 2021). Across the survey area, transects were spaced 6 km apart, with spacings of 2 km apart in the Hornsea Project One and Hornsea Project Two areas. In total, a 1,457.8 km transect length was achieved for the 6 km spacings and 1,141.7 km for the 2 km spacings, resulting in a total transect length of 2,599.6 km across the entire survey area. Additionally, acoustic surveys were undertaken between July 2011 and February 2013 using a towed hydrophone. The primary use of this was to detect vocalising harbour porpoise and resulted in 4,186 detections across the whole survey area. The survey data was processed using distance analysis to estimate the abundance of marine mammals (see Orsted (2021) for further details). Harbour porpoise, minke whale and white-beaked dolphins were recorded during the surveys.

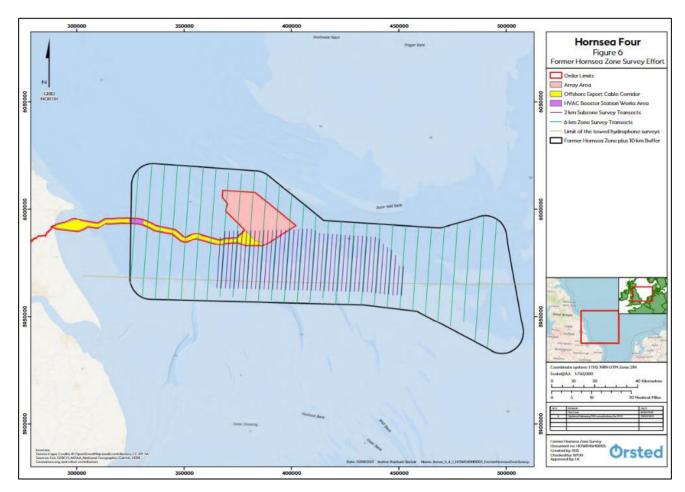


Figure 4-4 Former Hornsea Zone survey design (Orsted, 2021).

4.3.3 Dudgeon & Sheringham Shoal Extension Offshore Wind Farms

From May 2018 to April 2020, HiDef conducted site-specific marine mammal and seabird aerial surveys for the Dudgeon & Sheringham Shoal Extension OWF (Royal HaskoningDHV, 2021). The surveys were conducted monthly, except for between April 2019 and August 2019 in which two surveys were conducted per month. The survey area covered Dudgeon and Sheringham, plus a 4 km buffer (Figure 4-5). Survey transect spacings (2.5 km) and the data processing techniques were the same as those for the site-specific surveys for the Project (Section 4.1). Across the surveys, harbour porpoise were the most frequently sighted marine mammal species, with low sightings of minke whale, grey seals and harbour seals. Density estimates were provided for all four species observed during the site-specific surveys.

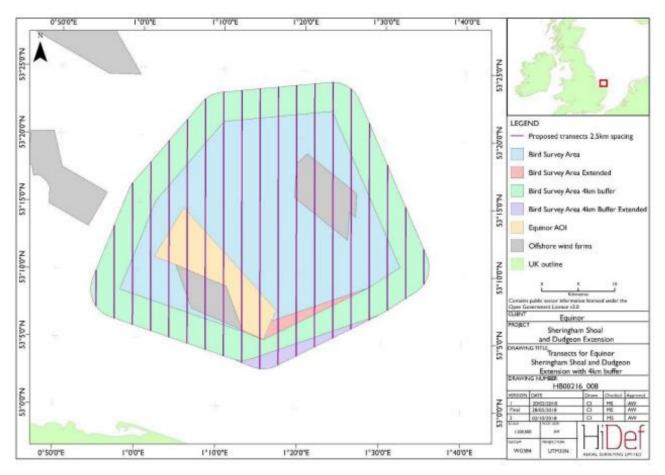


Figure 4-5 Dudgeon and Sheringham Shoal Extension survey design (Royal HaskoningDHV, 2021).

4.3.4 Triton Knoll Offshore Wind Farm

For the Triton Knoll OWF, 36 marine mammal and ornithological boat-based surveys were carried out from January 2008 to December 2009 (RWE npower renewables, 2011). Surveys were conducted once or twice a month and covered the site, plus a 1 km buffer (Figure 4-6). A total of 6,173 km² was surveyed for marine mammals and 52% of the surveys were conducted above sea state 2. In addition, survey data from the Greater Wash strategic area was used to inform the Triton Knoll OWF baseline characterisation. During these surveys, harbour porpoise were the most commonly sighted marine mammal species, with lower sightings of bottlenose dolphin, harbour seal, grey seal and unidentified marine mammals. No density estimates were available from these surveys.

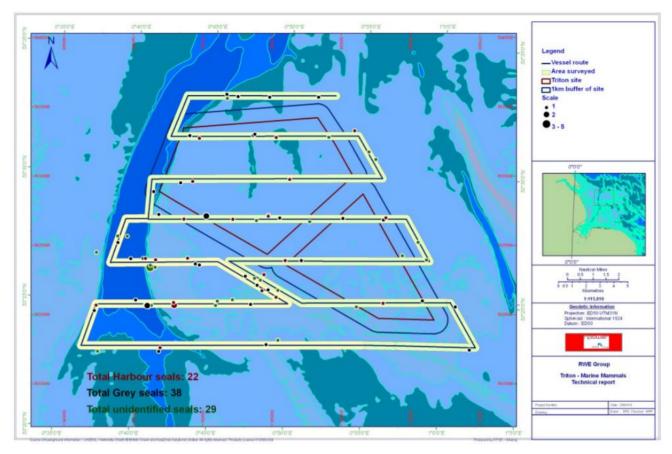


Figure 4-6 The Triton Knoll OWF survey design, including the locations of the recorded seal sightings (RWE npower renewables, 2011).

4.3.5 Race Bank OWF

Between December 2005 and October 2007, monthly ornithological boat-based surveys were conducted for the Race Bank OWF, in which incidental sightings of marine mammals were recorded (Centrica, 2009). The survey area covered the proposed site, plus a 1 km buffer (Figure 4-7). The transect lines ranged from 2-15.6 km in length and covered an area of 138.33 km. In addition, survey data from the Greater Wash strategic area was used to inform the Race Bank OWF baseline characterisation. Across the surveys, there were recorded sightings of harbour porpoise, grey seal and harbour seal.



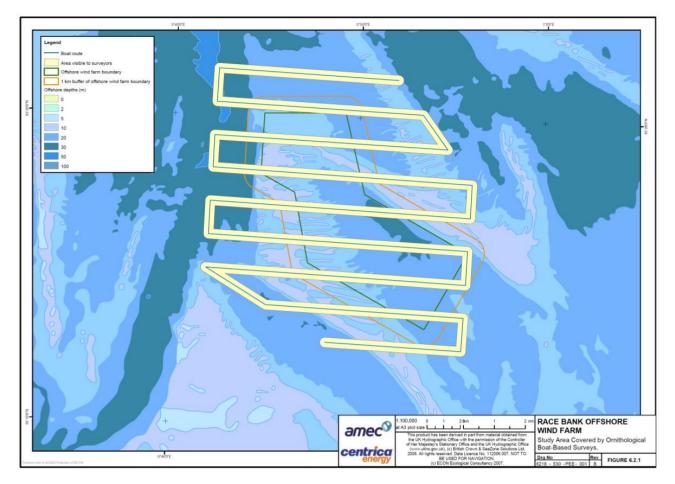


Figure 4-7 Race Bank OWF survey design (Centrica, 2009).

4.3.6 Dudgeon OWF

From December 2007 to April 2009, boat-based ornithological surveys were carried out for the Dudgeon OWF (Royal HaskoningDHV, 2009) (Figure 4-8). The surveys covered the study area of 65.5 km², including a 1 km buffer. Across these surveys, harbour porpoise, harbour seal and grey seal were sighted.

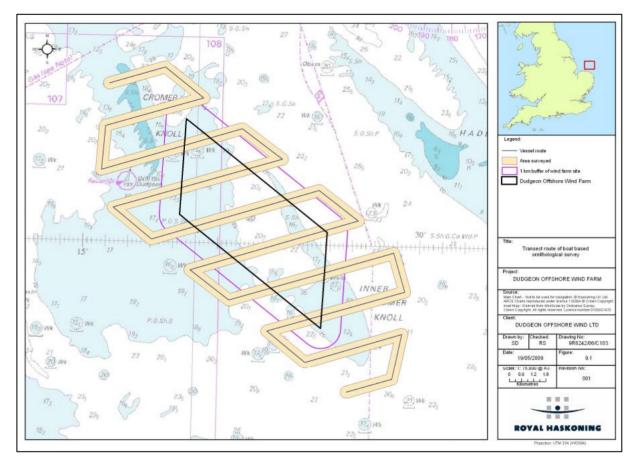


Figure 4-8 The boat-based survey design for Dudgeon Offshore Wind Farm (Royal HaskoningDHV, 2009).

4.3.7 Sheringham Shoal OWF

Between November 2004 and January 2006, boat-based ornithological surveys were carried out for Sheringham Shoal OWF (Scira Offshore Energy Limited, 2006) (Figure 4-9). During these surveys incidental marine mammal sightings were recorded within the area of the wind farm (35 km²) and up to a 1.5 km buffer distance. In total, a transect length of 89 km was achieved. Across the 29 surveys, harbour porpoise, harbour seal and grey seals were sighted. In addition, survey data from the Greater Wash strategic area was used to inform the Sheringham Shoal OWF baseline characterisation.



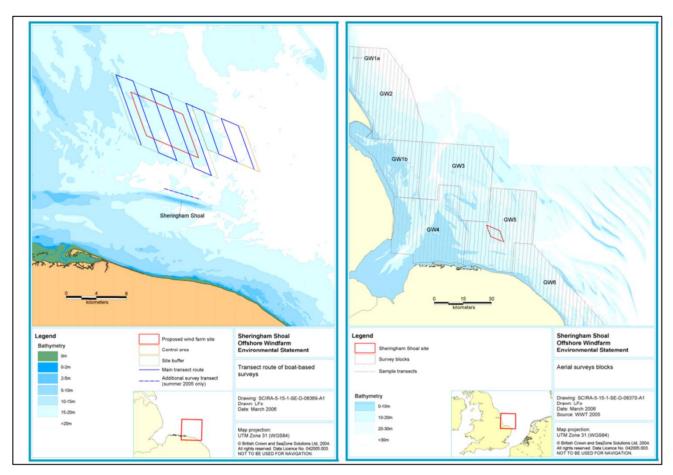


Figure 4-9 Sheringham Shoal boat-based (left) and aerial Greater Wash strategic area (right) ornithological survey design (Scira Offshore Energy Limited, 2006).

Boat-based construction monitoring surveys were also conducted during the construction period from 2009 – 2014, with a total of 106 surveys conducted (22 in each monitoring year except for the 2010-2011 period where 18 surveys were undertaken). To allow for comparison with the baseline surveys, the same basic transect route was used during construction monitoring, but with extended transect lines to incorporate the increased buffer area. Transect lines were moved eastward in October 2010 to ensure the turbine locations were avoided. Harbour porpoise, harbour seal and grey seals were observed during these surveys (ECON Ecological Consultancy Ltd, 2014).

4.3.8 Lincs OWF

Monthly boat-based ornithological surveys were conducted from April 2004 to March 2006 for the Lincs OWF (Figure 4-10) (Centrica energy, 2010)¹. The surveys were carried out across 9 transect lines (including 3 control transects) in the Lincs project area, including a 1 km buffer. There were four additional boat-based ornithological surveys conducted from June to July 2006 covering a study area of 406.51 km² (including a buffer of 500m) (ECON Ecological Consultancy Ltd, 2006). Incidental marine mammal sightings were recorded throughout both survey periods, including harbour porpoise, harbour seal and grey seal.

In 2004, Ecologic UK Ltd conducted a dedicate porpoise survey using boat-based visual and acoustic survey methods determine the extent of the porpoise population in the Lincs OWF area, compromising a series of transects approximately 5 miles long and spaced 1.5 miles apart. During the surveys, there were visual



observations of harbour porpoise, harbour seal and grey seal, and possible porpoise detections were made (Ecologic UK Ltd, 2021).

In addition, survey data from the Greater Wash strategic area was used to inform the Lincs OWF baseline characterisation.

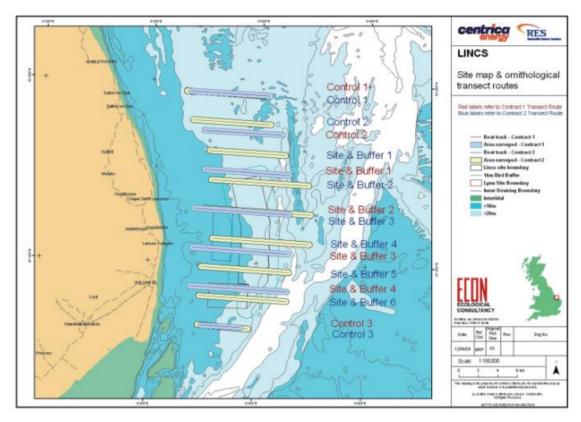


Figure 4-10 The Lincs OWF survey design and transect routes form the ornithological surveys (Centrica energy, 2010).

4.3.9 Lynn and Inner Dowsing OWFs

Ornithological surveys were conducted for Lynn and Inner Dowsing, in which marine mammal sightings were recorded (AMEC Offshore Wind Power Limited, 2002, Offshore Wind Power Limited, 2003). The surveys were carried out between October 2001 and October 2002, covering an area of approximately 25 km², including a 700-900 m buffer for each wind farm (Figure 4-11). The transects were spaced at 1.1 to 1.5 km intervals and all surveys were conducted at a speed of approximately 10 knots. A control site was studied in parallel to the wind farm survey areas and the survey methods followed the European Seabirds at Sea (ESAS) protocols. Across the 17 surveys, low numbers of harbour porpoise, harbour seal, grey seal and unidentified seals were recorded.

During the construction of Lynn and Inner Dowsing, boat-based ornithological surveys were carried out between July and December 2007 (RPS, 2008). Incidental marine mammal sightings were recorded throughout. The surveys covered the OWF sites, plus a 1 km buffer for both sites, and a control site (Figure 4-12). The methodology of the surveys was based on that recommended by COWRIE, including transect widths of 300 m and a ship speed of ~10 knots, and therefore not designed for marine mammal surveys. Across the surveys, harbour seals, grey seals and unidentified seal sightings were recorded. No post-construction monitoring was conducted as it was not required by the FEPA Licences (RPS, 2014).



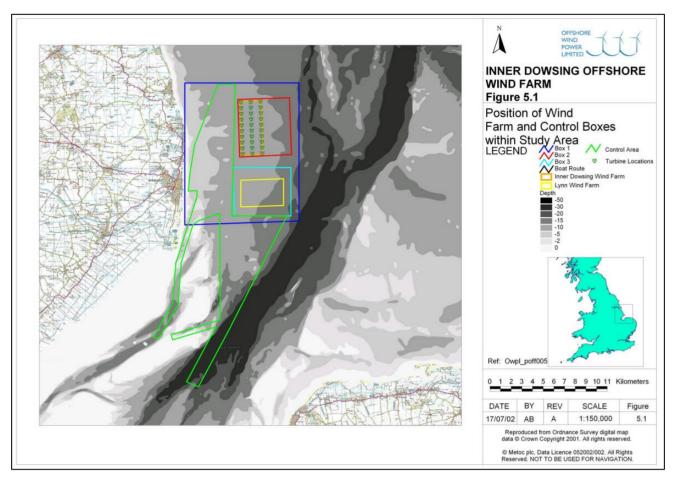


Figure 4-11 The Lynn and Inner Dowsing OWF survey areas (Offshore Wind Power Limited, 2003, Centrica energy, 2010).

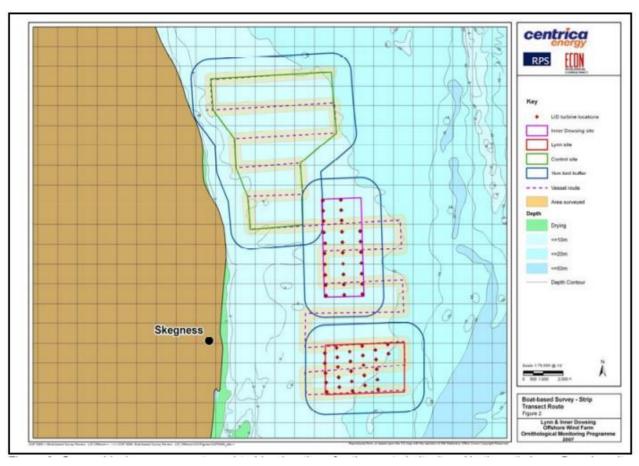


Figure 4-12 The design for the Lynn and Inner Dowsing monitoring surveys during construction (RPS, 2008).

4.4 Small Cetaceans in the European Atlantic and North Sea (SCANS)

The main objective of the SCANS surveys was to estimate small cetacean abundance and density in the North Sea and European Atlantic continental shelf waters. The SCANS I surveys were completed in 1994, SCANS II in July 2005, SCANS III in July 2016 and SCANS IV in late June to mid-August 2022 and all comprised a combination of vessel and aerial surveys. Both aerial and boat-based survey methodologies were designed to correct for availability and detection bias and allow the estimation of absolute abundance (Hammond *et al.*, 2017, Hammond *et al.*, 2021, Gilles *et al.*, 2023). The aerial surveys involved a single aircraft method using circlebacks (or race-track) methods whereas the boat-based surveys involved a double platform 'primary' and 'secondary' tracker methodology.

The Project is located in SCANS III survey block O (Hammond *et al.*, 2021) where aerial surveys were undertaken during June and July 2016 (Figure 4-13). SCANS III block O is a total of 60,198 km², within which 3,242.8 km of primary search effort was undertaken.

The Project is located in SCANS IV survey block NS-C (Gilles *et al.*, 2023) where aerial surveys were undertaken during late June and mid-August 2022 (Figure 4-14). SCANS IV block NS-C is a total of 60,203 km², within which 3,792.2 km of primary search effort was undertaken.

As part of SCANS III, the survey data were modelled in relation to spatially linked environmental features to produce density surface maps for the following cetacean species: harbour porpoise, bottlenose dolphin, white-beaked dolphin, common dolphin, striped dolphin, long-finned pilot whale, beaked whale species, minke whale and fin whale (Lacey *et al.*, 2022). The cetacean data used in the models were the same as those obtained in 2016 that were used to provide block specific abundance estimates in Hammond *et al.* (2021). The environmental covariates used in the density surface modelling were selected due to their potential to explain



the additional variability in the cetacean density estimates (for example, depth of the seabed, sea surface temperature (see Lacey $et\ al.$ (2022) for the full list of environmental covariates). The models were fitted using a spatial resolution of 10 km and predicted onto a 10×10 km spatial grid. Using the predicted density estimates from the surface models, density and abundance estimates can be generated for an entire survey area or a defined area within it, such as the Project site.

While the SCANS surveys provide sightings, density and abundance estimates at a wide spatial scale, the surveys are conducted during a single month, every 6-11 years and therefore do not provide any fine scale temporal or spatial information on species abundance and distribution. Furthermore, due to the change in survey blocks used across the SCANS surveys direct comparison between the surveys for abundance and density information is not possible.

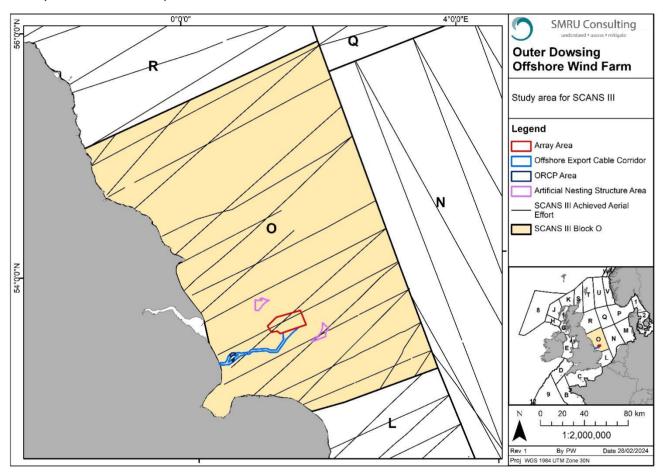


Figure 4-13 SCANS III survey block O and aerial transect effort in relation to the Project.



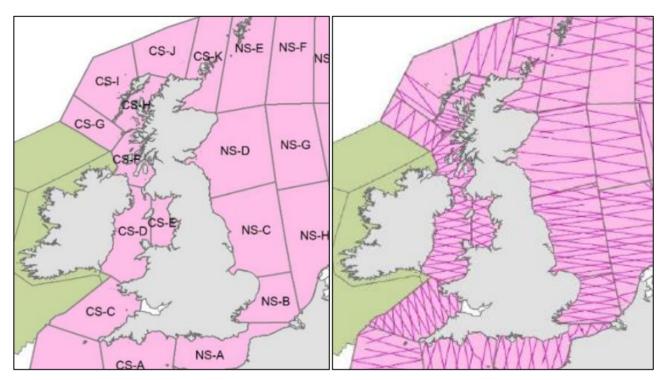


Figure 4-14 SCANS IV survey blocks (left) and aerial transect effort (right). Figures taken from Gilles et al. (2023).



4.5 Joint Cetacean Protocol (JCP)

4.5.1 JCP Phase III

The JCP Phase III analysis included datasets from 38 sources, totalling over 1.05 million km of survey effort between 1994 and 2010 from a variety of platforms (Paxton *et al.*, 2016). The JCP Phase III analysis was conducted to combine these data sources to estimate spatial and temporal patterns of abundance for seven species of cetaceans (harbour porpoise, minke whales, bottlenose dolphins, common dolphins, Risso's dolphins, white-beaked dolphins, and white-sided dolphins). The JCP Phase III analysis provided abundance estimates for specific areas of commercial interest for offshore developments. The Project does not directly overlap with any of these commercial areas, however, those of most relevance to the Project are the South Dogger Bank and Norfolk Bank areas (Figure 4-15). South Dogger Bank is located to the north of the Project site and covers an area of 14,265 km². Norfolk Bank is located to the east of East Anglia and to the south of the Project site, covering an area of 14,295 km² (Paxton *et al.*, 2016).

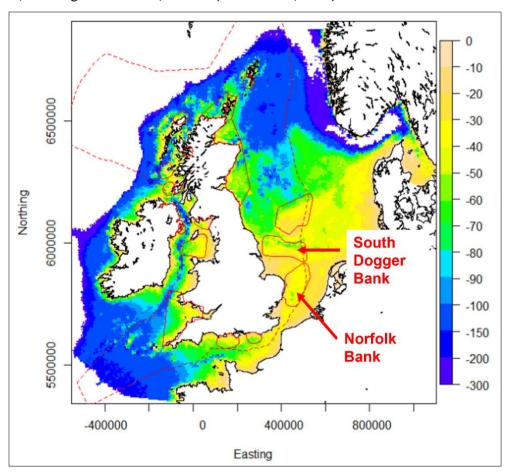


Figure 4-15 The Phase III region showing (red) areas of interest for offshore development where estimates of abundance are of special commercial interest (red dashed line = British exclusive economic zone, colour = depth in m) (Paxton et al., 2016).

4.5.2 JCP data analysis tool

In 2017, the Joint Nature Conservation Committee (JNCC) released the Joint Cetacean Protocol (JCP) Phase III Data Analysis Product² that can be used to extract the cetacean abundance estimates for summer 2007-2010 (average) for a user specified area (the Project array area, plus a 50 km buffer) (Figure 4-16). This code was originally created by Charles Paxton at the Centre for Research into Ecological and Environmental Modelling

² https://hub.jncc.gov.uk/assets/01adfabd-e75f-48ba-9643-2d594983201e



(CREEM) at the University of St Andrews and was modified by JNCC to include abundance estimates that are scaled to the SCANS III results.

It should be noted that there are several limitations of this dataset. The data are between 10 and 26 years old and as such, do not provide a recent density estimate against which to assess impacts. The authors state that the JCP database provides relatively poor spatial and temporal coverage, that the results should be considered indicative rather than an accurate representation of species distribution, and that due to the patchy distribution of data, the estimates are less reliable than those obtained from SCANS surveys. In addition, the authors categorically state that the JCP Phase III outputs cannot be used to provide baseline data for impact monitoring of short-term change or to infer abundance at a finer scale than 1,000 km² because of issues relating to standardizing the data (such as corrections for undetected animals and potential biases) from so many different platforms/methodologies and the strong assumptions that had to be made when calculating detection probability. Furthermore, the density estimates obtained from the Data Analysis Tool is an averaged density estimate for the summer 2007-2010 and are therefore, not representative of densities at other times of the year.

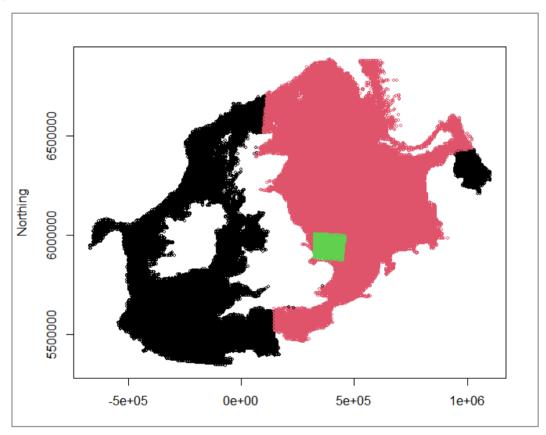


Figure 4-16 The user specified area used to extract cetacean abundance and density estimates from the JCP III R code. The map shows the whole area under consideration (black + pink + green), the harbour porpoise North Sea MU (pink) and the specific area of interest (green).

4.5.3 Porpoise high density areas

Heinänen and Skov (2015) conducted a detailed analysis of 18 years of survey data on harbour porpoise around the UK between 1994 and 2011 held in the JCP database. The goal of this analysis was to try to identify "discrete and persistent areas of high density" that might be considered important for harbour porpoise with the ultimate goal of providing an evidence base to inform the designation of SACs. The analysis grouped data into three subsets: 1994-1999, 2000-2005 and 2006-2011 to account for patchy survey effort and analysed summer (April-September) and winter (October-March) data separately to explore whether distribution patterns were different between seasons and to examine the degree of persistence between the subsets. The



authors note that "due to the uneven survey effort over the modelled period, the uncertainty in modelled distributions vary to a large extent". In addition, the authors stated that "model uncertainties are particularly high during winter". The uncertainties in the modelled distributions were taken into account when designating the draft SACs so that only areas with high confidence were retained (IAMMWG, 2015b).

4.6 MERP distribution maps

The aim of the MERP project (Marine Ecosystems Research Programme) was to produce species distribution maps of cetaceans and seabirds at basin and monthly scales for the purposes of conservation and marine management. A total of 2.68 million km of survey data in the Northeast Atlantic between 1980 and 2018 were collated and standardized. Only aerial and vessel survey data were included where there were dedicated observers and where data on effort, survey area and transect design were available. The area covered by Waggitt *et al.* (2020) comprised an area spanning between Norway and Iberia on a north-south axis, and Rockall to the Skagerrak on an east-west axis.

Waggitt *et al.* (2020) predicted monthly and 10 km² densities for each species (animals/km²) and estimated the probability of encountering animals using a binomial model (presence-absence model) and estimated the density of animals if encountered using a Poisson model (count model). The product of these two components were used to present final density estimations (Barry and Welsh, 2002). The outputs of this modelling were monthly predicted density surfaces for 12 cetacean species at a 10 km resolution. There is no indication of whether the more recent sightings data are weighted more heavily than older data, which limits interpretation of how predictive the maps are to current distribution patterns. Therefore, while the density estimates obtained from these maps for harbour porpoise are representative of relative density compared to other sites around the UK, they are not considered to be suitable density estimates for use in quantitative impact assessment and are provided in this baseline characterisation for illustrative purposes only. This is especially key when considering harbour porpoise since previous survey efforts (SCANS I, II and III) have shown a southwards movement of harbour porpoise in the Southern North Sea.

4.7 Sea Watch Foundation

The Project is located in the Sea Watch Foundation regional group 10 (Lincolnshire: River Humber to Nene River Mouth) in the East England group. Recent sightings data for this region are provided on the Sea Watch Foundation website³ and are summarised here. Data was accessed on 09/10/2023 and listed all sightings from 26th July 2023 to 25th September 2023. The following species were sighted in this period:

- Harbour porpoise (x107)
- Common dolphin (x69)
- Grey seal (x25)
- Bottlenose dolphin (x8)
- Minke whale (x5)
- White-beaked dolphin (2)
- Humpback whale (x1)
- Minke whale (x1)
- Common/harbour seal (x1)

³ https://www.seawatchfoundation.org.uk/10-lincolnshire/



These sightings do not come with associated effort data, so no sightings rate of density information is available, but it does serve to identify what species have been recorded in the area in recent months. Note: sightings can be reported by untrained members of the public and as such species ID is not certain (though when reporting a sighting, the user does have the ability to assign a confidence score to the ID provided). The Sea Watch Foundation website identifies key regularly sighted species in the Eastern England area as: minke whales, killer whales, harbour porpoise, bottlenose dolphin, white-sided dolphin and white beaked dolphins⁴.

4.8 SCOS

Under the Conservation of Seals Act 1970 (in England) and the Marine (Scotland) Act 2010, the Natural Environment Research Council (NERC) (now part of UK Research and Innovation) provides scientific advice to government on matters related to the management of UK seal populations through the advice provided by SCOS. SMRU provides this advice to SCOS on an annual basis through meetings and an annual report. The report includes advice on matters related to the management of seal populations, including general information on British seals, information on their current status and addresses specific questions raised by regulators and stakeholders.

4.8.1 Haul-out counts

Surveys of harbour seals are carried out during the summer months. The main population surveys are carried out when harbour seals are moulting, during the first three weeks of August, as this is the time of year when the largest numbers of seals are ashore. Grey seals are also counted on all harbour seal surveys, although these data do not necessarily provide a reliable index of population size. Grey seals aggregate in the autumn to breed at traditional colonies, therefore their distribution during the breeding season can be very different to their distribution at other times of the year.

The surveys are conducted in August primarily for harbour seals, though grey seals are comprehensively counted too. The survey methodology employed across this area is oblique aerial photography from fixed-wing aircraft and all seals were photographed from an altitude of approximately 100 m. In addition to the August moult surveys, in 2011 and 2018 harbour seal pup surveys were conducted in late June/early July using the same methodology.

In order to estimate the number of seals present within the MU, the haul-out counts within the MU are scaled to account for the estimated proportion of seals at sea at the time of the count. For harbour seals, the percentage of the total population hauled-out during the August surveys is 72% (Lonergan *et al.*, 2013). For grey seals, the percentage of the total population hauled-out during the August surveys is 25.15% (SCOS, 2022) (see SCOS-BP 21/02).

4.8.2 Grey seal pup counts

SMRU's main surveys of grey seals are designed to estimate the numbers of pups born at the main breeding colonies around Scotland. Breeding grey seals are surveyed biennially between mid-September and late November using large-format vertical photography from a fixed-wing aircraft. The SMRU grey seal pup counts round the UK are augmented by surveys conducted by Scottish Natural Heritage, The National Trust, Lincolnshire Wildlife Trust and Friends of Horsey Seals.

4.9 Seal habitat preference

The Department for Energy Security and Net Zero (then BEIS) funded a large-scale deployment of high resolution GPS telemetry tags on grey seals around the UK, and analyses to create up-to-date estimates of the at-sea distribution for both harbour and grey seals (Carter et al., 2020, Carter et al., 2022). Telemetry data

⁴ https://seawatchfoundation.org.uk/wp-content/uploads/2012/07/EasternEngland.pdf



from 114 grey seals and 239 harbour seals were included in the analysis (Figure 4-17). To estimate the at-sea distribution, a habitat modelling approach was used, matching seal telemetry data to habitat variables (such as water depth, seabed topography, sea surface temperature) to understand the species-environment relationships that drive seal distribution. Haul-out count data (Figure 4-18) were then used to generate predictions of seal distribution at sea from all known haul-out sites in the British Isles. This resulted in predicted distribution maps on a 5x5 km grid. The estimated density surface gives the percentage of the British Isles at-sea population (excluding hauled-out animals) estimated to be present in each grid cell at any one time during the main foraging season.

The predicted habitat usage data is representative of spring distributions for harbour seals and summer distributions for grey seals since the majority of telemetry tracking data were collected in these seasons (Carter *et al.*, 2020). This is likely to be representative of seal distribution during the main foraging season, but is not considered to be representative of expected distributions during the breeding season where seal haul-out and movement patterns are markedly different. It is assumed in the habitat preference maps that there is temporal stability in the distribution of seals out with the breeding season.

In order to estimate the number of seals present in a specific area, the value provided in the relevant cell(s) (percentage of the British Isles at-sea population excluding hauled-out animals) were scaled by the total British Isles at-sea population estimate (~150,700 grey seals and ~42,800 harbour seals) (Carter *et al.*, 2020) to estimate the number of animals present within the 5x5 km cell. This value can then be divided by 25 to obtain the density of seals per km².

The main limitation of this dataset is that only seals tagged in the British Isles were included in the analysis. Therefore, the habitat preference maps may underestimate the number of seals present in each grid cell as it does not account for those seals from haul-outs along the French coast or the Wadden Sea. In addition, there have been no tagging studies of grey seals in the south-England MU, and therefore the predicted at-sea distributions in this MU may not be representative of the true at-sea distribution.

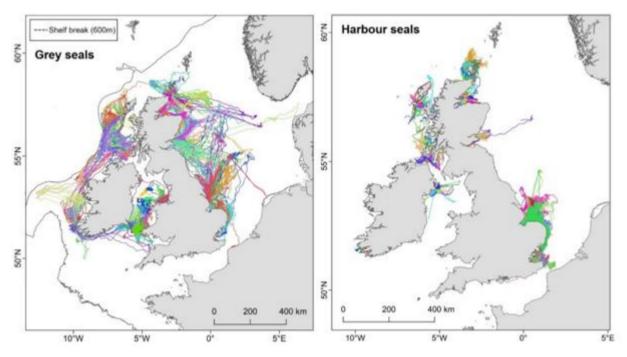


Figure 4-17 GPS tracking data for grey and harbour seals available for habitat preference models (Carter et al., 2020).

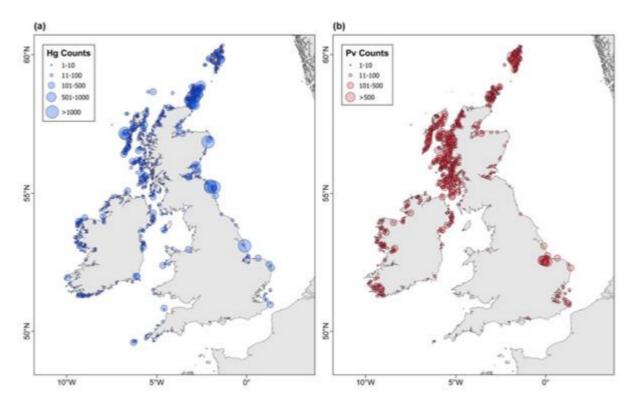


Figure 4-18 Most recent available August count data for (a) grey and (b) harbour seals per 5 km x 5 km haul-out cell used in the distribution analysis (Carter et al. 2020).

4.10 Seal telemetry

SMRU has developed telemetry tags on grey seals and harbour seals in the UK since 1988 and 2001, respectively. These tags transmit data on seal locations with the tag duration (number of days) varying between individual deployments (e.g. Carter *et al.*, 2020, Carter *et al.*, 2022). There are two types of telemetry tag which differ by their data transmission methods. Data transmission can be through the Argos satellite system (Argos tags) or mobile phone network (phone tags). Both types of transmission result in location fixes, but data from phone tags comprise better quality (GPS quality) and more frequent locations. The telemetry data were used to illustrate the distribution of seals at sea and to investigate connectivity between the Project and seal SACs (see section 9 and 9.6 for harbour and grey seal respectively).

Vincent et al. (2017) provide data on haul-outs and telemetry data for both harbour and grey seals along the French coast of the English Channel. Between 1999 and 2014 a total of 45 grey seals and 28 harbour seals were tagged and tracked for more than a month (Figure 4-19). Measures were taken to avoid issues of overestimation amongst coastal locations, created due to seals spending reduced amounts of time underwater at these locations, potentially transmitting GPS and Argos transmissions more frequently. The measures included that for each density map, only locations within a 20-minute interval were interpolated from the raw data. Maps were generated using the at-sea distribution of individuals, interpolated locations within 0.1° grids which encompassed both the entire English Channel area and the southern Celtic Sea. All these locations were weighted separately for grey and harbour seals by capture site. This considered the abundance of days in which tracking data of seals was recorded for each study site.



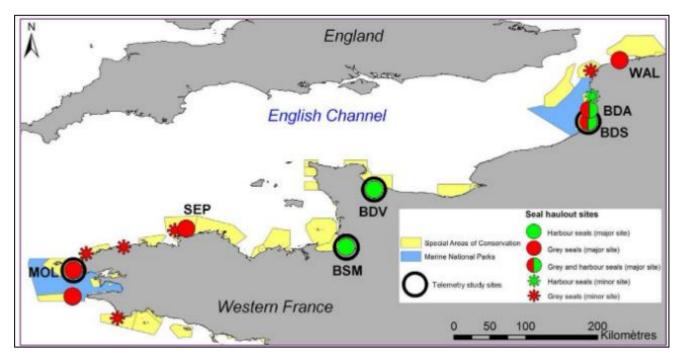


Figure 4-19 Map of all grey seals (red) and harbour seal (green) haul-out sites in metropolitan France (Vincent et al 2017). Circles indicate haul-out sites where the seasonal maximum number of seals exceeds 50 individuals. Stars indicate smaller haul-out sites used by fewer seals, not detailed in this study. Symbols surrounded by thick, black circles show the seal colonies where telemetry was conducted. Marine Protected Areas are also shown, including Special Areas of Conservation and Marine National Parks.

Nature Reserves are not visible but also encompass some haul-out sites, in SEP, BDS and BDV for instance. Haul-out sites are:

Molene Archipelago (MOL), Sept iles Archipelago (SEP), Baie du Mont-Saint-Michel (BSM), Baie des Veys (BDV), Baie de Somme (BDS), Baie d'Authie (BDA) and Walde (WAL).

5 Harbour porpoise

5.1 MU

Harbour porpoises are distributed globally and can be found in shallow waters (<200 m) around the UK. The population estimate for the North Sea MU based on SCANS III data is 346,601 harbour porpoise (95% CI: 289,498 – 419,967, CV: 0.09) (IAMMWG, 2023). The conservation status of harbour porpoise in UK waters was updated in JNCC (2019a) which concluded a favourable assessment of future prospects and range, but an unknown conclusion for population size and habitat. This resulted in an overall assessment of conservation status of "Unknown" and an overall trend in Conservation status of "Unknown". A trend analysis indicates that the harbour porpoise abundance in the North Sea is stable and has not changed since 1994, although the associated confidence intervals are quite wide (Hammond *et al.*, 2021, Gilles *et al.*, 2023). Harbour porpoises are listed as Least Concern on the IUCN red list, but as an Annex II species of the Habitats Directive, the designation of SACs is required as a component of their conservation. There is one SAC designated for harbour porpoise within the North Sea MU (Table 3.1).

5.2 Site-specific surveys

Harbour porpoises were the most frequently sighted marine mammal species in the site-specific baseline surveys to date (March 2021 – February 2023) consisting of 1,227 sightings (71% of the total marine mammal sightings, Table 5.1). Harbour porpoises were observed in all months.

Animals that were below 2 m depth were unavailable to be detected in the surveys, and therefore a correction factor was applied to the data. As described in Voet *et al.* (2017), the correction factor is based on the proportion of time spent at depth obtained from telemetry data from 35 harbour porpoise tagged around Denmark (Teilmann *et al.*, 2013b). This resulted in corrected harbour porpoise density estimates for the survey



area (Table 5.2), with an average absolute density estimate of 1.63 porpoise/km² throughout the 31 surveys. The maximum absolute density estimate of 5.23 harbour porpoise/km² occurred in June 2021, and was also similarly high in June and September 2021 (4.38 and 4.80 harbour porpoise/km²). Density estimates were highest in the summer months (June to August) where densities were on average 2.63 harbour porpoise/km² and lowest in the winter months (December to February) where densities were on average 0.40 harbour porpoise/km² (Table 5.2 and Figure 5-1).

Harbour porpoises were observed across the survey area (Figure 5-2 to Figure 5-7). The area of highest densities varies seasonally across the survey area; highest densities were in the west of the development area in September 2021 while in June 2022 density was highest in the east.

In year 1 of the surveys, 15 juvenile harbour porpoises were recorded, associated with adults: 1 in May 2021, 12 in June 2021 and 2 in July 2021. A further 26 juvenile harbour porpoise, associated with adults, were recorded in year 2 of the surveys, between May and August 2022. This aligns with the evidence that harbour porpoise in the North Sea give birth between June and August (Sørensen and Kinze, 1994, Lockyer and Kinze, 2003, Hasselmeier *et al.*, 2004). Very little is known about where porpoise give birth within the North Sea, since most surveys report on porpoise sightings but do not differentiate between calves, juveniles, and adults. An analysis of calf presence during SCANS I ship surveys showed that porpoise calves were sighted in the coastal waters off east England and Scotland and in both the northern and central North Sea (SCANS I blocks C, F and G), with higher calf sightings rates around the German islands of Sylt and Amrum - which were identified as potential calving grounds (Sonntag *et al.*, 1999). Dogger Bank has also been suggested as a possible porpoise calving area (Evans and Prior, 2012) though data remains limited. Porpoise calves have been sighted throughout the North Sea, though they are rarely reported, as a result the sighting of juvenile harbour porpoise does not indicate a porpoise nursery ground.

Table 5.1 Number of harbour porpoise recorded from the HiDef surveys (the Project array area plus 4 km buffer) between March 2021 and February 2023 (HiDef, 2023).

	IV	lar	A	pr	М	ay	J	Jun		ul	Aug		Sep		Oct	Nov	Dec	Jan	Feb
Year 1	6	51	(*)	3 7	8	0	ļ	07	34		4	41 98		28	49	9	10	7	
Year 2	8	29	63	12	35	59	52	129	28	62	92	37	34	3	0	2	10	I	10



Table 5.2 Adjusted density and population estimates for harbour porpoise in the Project survey area from the HiDef surveys between March 2021 and February 2023, taking into account the number of animals that are estimated as being unavailable for detection (HiDef, 2023).

	Non-a	djusted (relati estimat		ance	Adjusted (absolute) abundance estimates				
Harbour porpoise	Density estimate (#/km²)	Population estimate	Lower 95% Cl	Upper 95% CI	Density estimate (#/km²)	Population estimate	Lower 95% CI	Upper 95% CI	
22 March 2021	0.39	364	263	467	2.26	2112	1526	2709	
04 April 2021	0.24	226	127	354	1.17	1102	619	1726	
12 May 2021	0.54	497	381	620	3.02	2781	2132	3469	
09 June 2021	0.72	663	503	859	4.38	4032	3059	5224	
24 July 2021	0.22	208	152	266	1.41	1329	971	1700	
14 August 2021	0.27	251	143	367	1.60	1492	850	2181	
07 September 2021	0.64	593	449	782	4.80	4447	3368	5865	
09 October 2021	0.20	184	109	269	1.53	1403	831	2052	
02 November 2021	0.32	301	172	470	2.48	2335	1334	3647	
15 December 2021	0.06	55	29	85	0.44	404	213	624	
06 January 2022	0.07	62	7	144	0.45	399	45	926	
23 February 2022	0.05	42	18	73	0.40	332	142	578	
11 March 2022	0.05	50	12	95	0.29	290	70	551	
22 March 2022	0.19	174	83	274	1.10	1009	481	1590	
02 April 2022	0.42	390	262	545	2.05	1902	1278	2658	
15 April 2022	0.08	73	36	114	0.39	356	176	556	
02 May 2022	0.23	214	156	280	1.29	1197	873	1567	
17 May 2022	0.38	355	255	477	2.13	1986	1427	2669	
09 June 2022	0.34	317	196	441	2.07	1928	1192	2682	
21 June 2022	0.86	789	661	922	5.23	4798	4020	5607	
04 July 2022	0.19	176	85	277	1.21	1125	543	1770	
16 July 2022	0.41	376	302	455	2.62	2402	1930	2907	
08 August 2022	0.61	563	414	750	3.63	3346	2461	4458	
23 August 2022	0.25	230	128	347	1.49	1367	761	2062	
13 September 2022	0.22	208	92	343	1.71	1614	714	2661	
25 September 2022	0.02	18	0	37	0.15	135	0	277	
10 October 2022	0.00	0	0	0	0.00	0	0	0	
11 November 2022	0.01	13	0	32	0.08	101	0	248	
13 December 2022	0.07	62	30	98	0.51	455	220	720	
26 January 2023	0.01	6	0	19	0.06	39	0	122	
10 February 2023	0.07	62	18	115	0.55	491	142	910	
Average	0.26	243	-	-	1.63	1507	-	-	
Average Spring	0.28	260	-	-	1.52	1415	-	-	
Average summer	0.43	397	-	-	2.63	2424	-	-	
Average autumn	0.20	188	-	-	1.54	1434	-	-	
Average winter	0.06	48	•	-	0.40	353	-	-	

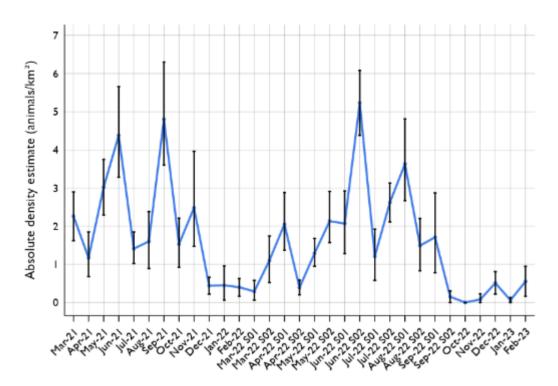


Figure 5-1 Harbour porpoise absolute density estimates (#/km²), with 95% confidence intervals, in the Project survey area, between March 2021 and February 2023 (HiDef, 2023).

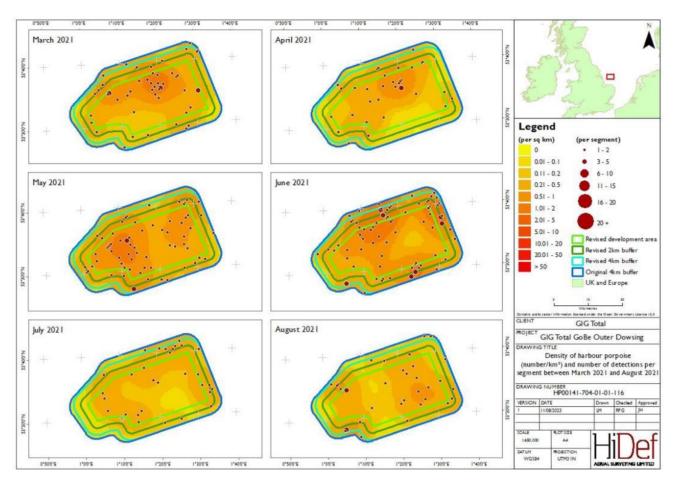


Figure 5-2 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area between March 2021 and August 2021 (HiDef, 2023).

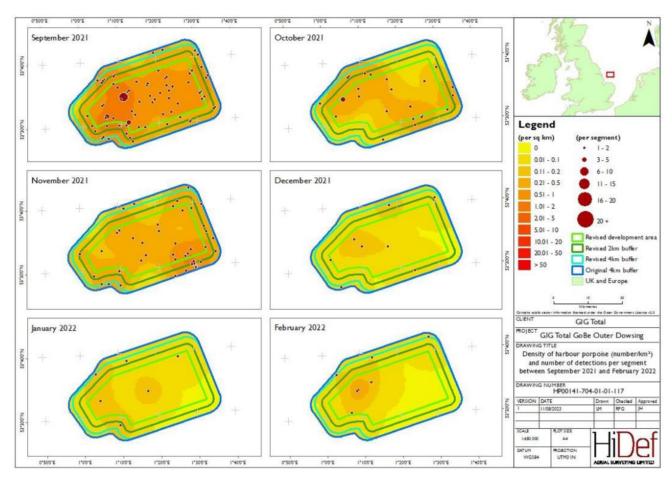


Figure 5-3 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area between September 2021 and February 2022 (HiDef, 2023).

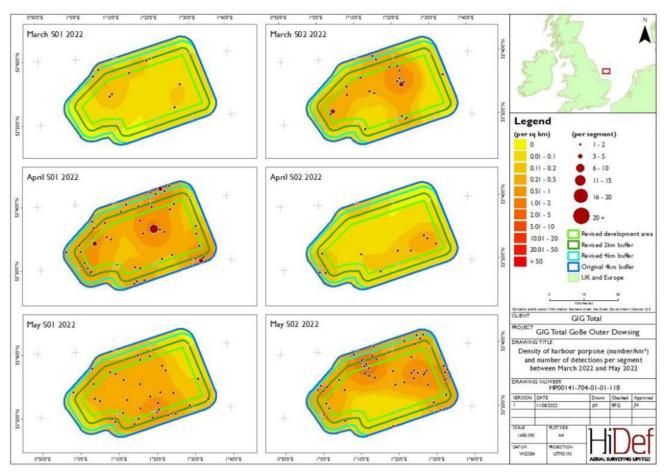


Figure 5-4 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area between March 2022 and May 2022 (HiDef, 2023).

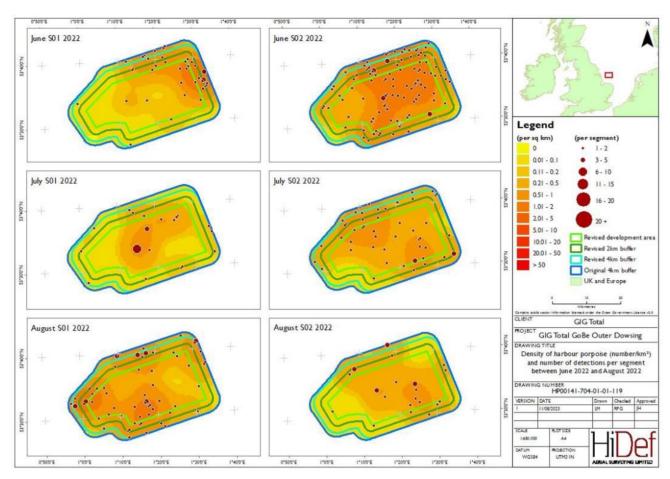


Figure 5-5 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area between June 2022 and August 2022 (HiDef, 2023).

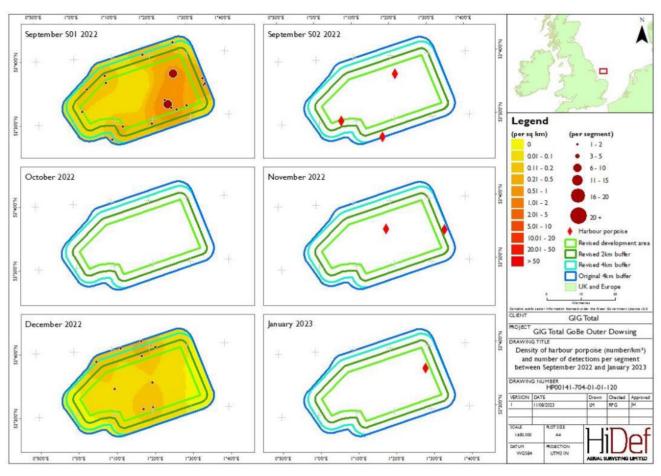


Figure 5-6 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area between September 2022 and January 2023 (HiDef, 2023).



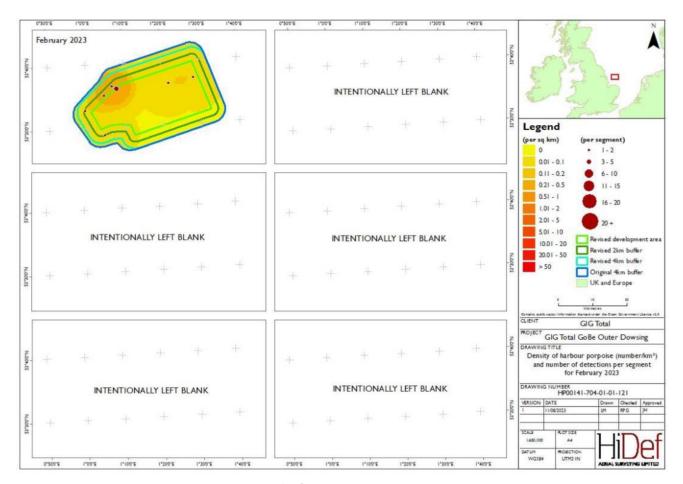


Figure 5-7 Density of harbour porpoises (number/km²) and number of detections per segment in the Project survey area in February 2023 (HiDef, 2023).

5.3 The Project geophysical surveys

During the 2021 geophysical survey, there was one incidental sighting of harbour porpoise on 20-Aug-21 whilst the vessel was in transit (Seiche, 2022b). During the 2022 geophysical surveys, three harbour porpoise were sighted (08-Apr-2022, 29-Apr-2022 and 18-May-2022) (Seiche, 2022a).

5.4 Nearby OWF surveys

Harbour porpoises were confirmed to be present at all nearby OWFs considered during their site-specific surveys. There were also three possible harbour porpoise acoustic detections during baseline monitoring conducted in the Lincs OWF area in addition to visual observations.

A total of 1,327 harbour porpoises were recorded during the Hornsea Four site-specific surveys, which equated to an adjusted average density of 1.74 harbour porpoise/km². However, there was interannual variation with higher densities during year 1 of the surveys (862 recorded, 2.24 harbour porpoise/km²) compared year 2 of the surveys (465 recorded, 1.26 harbour porpoise/km²). In addition, there were clear seasonal patterns with more harbour porpoise sighted in summer (June, July, August: 0.846 harbour porpoise/km²) compared to winter (December, January, February: 0.094 harbour porpoise/km²). It is also important to highlight that usage of the survey area was not uniform, with more sightings in the southern part of the survey area. During the three years of the Former Hornsea Zone surveys, 6,504 harbour porpoise were recorded. Harbour porpoise were present throughout the entire survey area, with patchily distributed densities with the Former Hornsea Zone (Orsted, 2021).



Density estimates were available from the Dudgeon and Sheringham Shoal extension site-specific surveys conducted from May 2018 to April 2020 produced a maximum (corrected) average winter density of 0.65 harbour porpoise/km² and a maximum average summer density of 1.46 harbour porpoise/km², indicating a seasonal pattern of porpoise presence. The maximum average annual density was calculated as 1.05 harbour porpoise/km² (Royal HaskoningDHV, 2021).

Harbour porpoise densities were also calculated during the Sheringham Shoal baseline and construction monitoring. The maximum density calculated was 0.4 harbour porpoise/km² within the 2km buffer in October 2009 (Year 1 of construction), with the majority of density values falling between 0.05 and 0.25 harbour porpoise/km² (ECON Ecological Consultancy Ltd, 2014).

5.5 SCANS

The SCANS surveys of the whole of the North Sea show southwards shift in distribution of the North Sea harbour porpoise population between the survey years of 1994 (SCANS I) and 2005 (SCANS II); this pattern of higher densities in the southern North Sea persisted in the 2016 surveys.

5.5.1 SCANS III block-wide density

In SCANS III survey block O there was an estimated block-wide abundance of 53,485 harbour porpoise (95% CI: 37,413 - 81,695, CV: 0.209) and an estimated density of 0.888 harbour porpoise/ km² (CV: 0.209). The SCANS III data, while limited to summer months only, do provide a robust absolute density estimate for harbour porpoise, that has been corrected for availability and perception bias.

5.5.2 SCANS IV block-wide density

In SCANS IV survey block NS-C there was an estimated block-wide abundance of 36,286 harbour porpoise (95% CI: 23,346 - 56,118) and an estimated density of 0.6027 harbour porpoise/ km² (CV: 0.228). The SCANS IV data, while limited to summer months only, do provide a robust absolute density estimate for harbour porpoise, that has been corrected for availability and perception bias.

5.5.3 SCANS III density surface

The SCANS III data was used to obtain predicted density surfaces (Lacey *et al.*, 2022). This shows that the predicted SCANS III harbour porpoise distribution across the MU during the summer is not uniform, with higher densities found in the southern North Sea, with densities decreasing into the central and northern North Sea (Lacey *et al.*, 2022). There is also an indication that the 2016 distribution extended further into the English Channel than previously modelled. However, the predicted density is still low in this region (Hammond *et al.*, 2021). Densities around the rest of the UK are typically low at <0.50 harbour porpoise/km² (Lacey *et al.*, 2022). The Project falls within an area with relatively high predicted densities. Within the Project survey area, the maximum predicted density was 1.25 harbour porpoise/km² and 1.55 harbour porpoise/km² within the offshore Export Cable Corridor (ECC) (Figure 5.5-8).

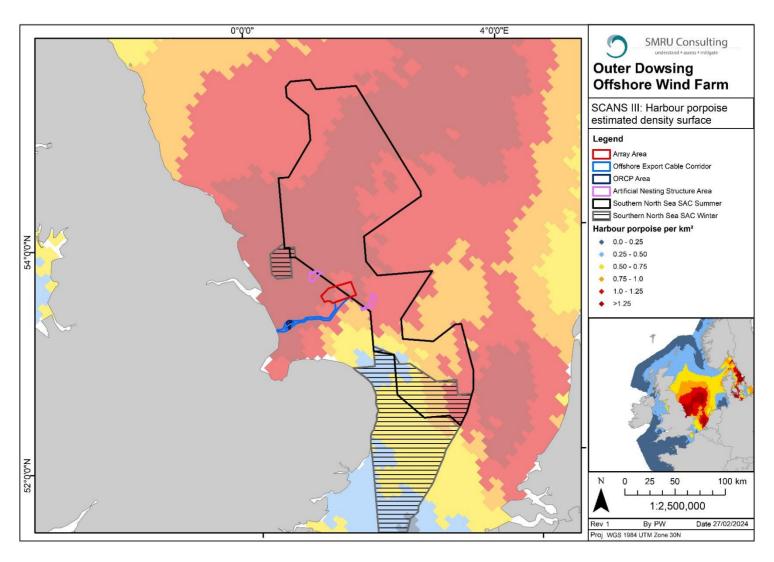


Figure 5.5-8 Estimated density surface for harbour porpoise using SCANS III data. Data from Lacey et al. (2022).



5.6 JCP

5.6.1 JCP Phase III

Paxton *et al.* (2016) used the JCP dataset to provide estimates of the density of harbour porpoise (Figure 5-9 and Figure 5-10) at South Dogger Bank (14,265 km²) and Norfolk Bank (14,295 km²) (neither area overlaps directly with the Project but are located to the north and south of the Project site). At South Dogger Bank, the density is estimated to be greatest during winter at 1.290 harbour porpoises/km² (95% CI: 0.878-1.847) and lowest during autumn at 0.351 harbour porpoises/km² (95% CI: 0.260-0.520). The same seasonal pattern in density is estimated at Norfolk Bank, albeit at an overall lower density, with 0.958 harbour porpoise/km² (95% CI: 0.490-1.833) during winter and 0.280 harbour porpoises/ km² (95% CI: 0.126-0.595) in autumn (Table 5.3).

Table 5.3 JCP Phase III abundance and density estimates for harbour porpoise in 2010 for the South Dogger Bank and Norfolk Bank regions (Paxton *et al.*, 2016).

Season	Abundance point estimate	95% CI	Density (#/km²)		
South Dogger Bank	(
Winter	18,400	12,500 - 26300	1.290		
Spring	7,000	4,000 – 13,6000	0.491		
Summer	9,700	6,700 – 13,200	0.680		
Autumn	5,000	3,700 – 7,400	0.351		
Average	10,025	-	0.703		
Norfolk Bank					
Winter	13,700	7,000 – 26,200	0.958		
Spring	5,300	2,600 – 15,600	0.372		
Summer	7,100	3,600 – 12,700	0.498		
Autumn	4,000	1,800 – 8,500	0.280		
Average	7,525	-	0.528		

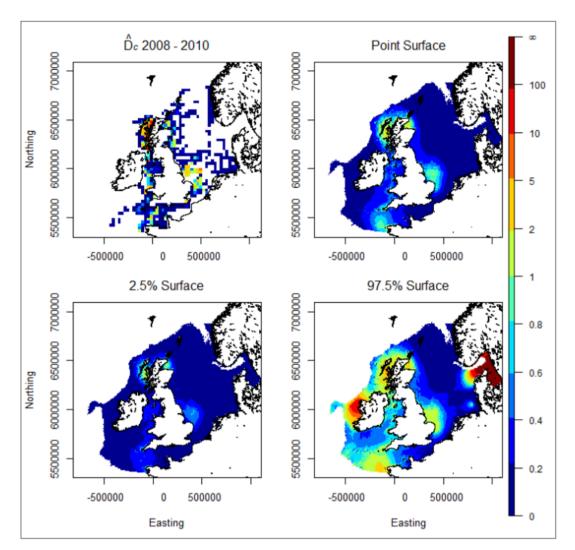


Figure 5-9 Predicted harbour porpoise densities for summer 2010 (Paxton *et al.*, 2016). Top left; input densities (summer all years), top right; point estimate of cell densities, bottom left; lower (2.5%) confidence limit on cell densities, bottom right; upper (97.5%) confidence limit on cell densities (dolphins/km²). Note that the top left plot exaggerates the spatial coverage of the relevant

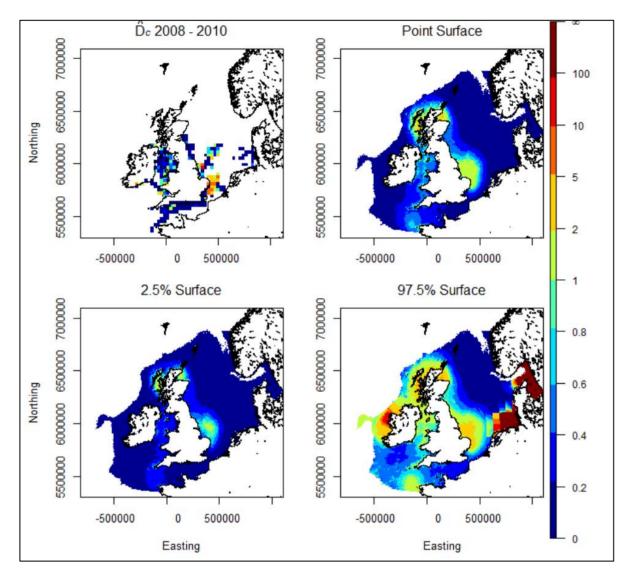


Figure 5-10 Predicted harbour porpoise densities for winter 2010 (Paxton *et al.*, 2016). Top left; input densities (summer all years), top right; point estimate of cell densities, bottom left; lower (2.5%) confidence limit on cell densities, bottom right; upper (97.5%) confidence limit on cell densities (dolphins/km²). Note that the top left plot exaggerates the spatial coverage of the relevant

5.6.2 JCP data analysis tool

The JCP Phase III Data Analysis Product provided a high estimate of 2.77 harbour porpoise/km² (95% CI: 1.48-3.78) in the vicinity of the Project, averaged for the summer 2007-2010 (Table 5.4). It is important to note that this estimate is for the summer months only and is not representative of densities at other times of the year. Other sources such as the JCP Phase III data (Paxton *et al.*, 2016) showed a higher expected harbour porpoise density during winter months and, therefore, the summer densities presented in Table 5.4 are likely lower than the densities that would be expected in this area during the winter.

Table 5.4 JCP Phase III Data Analysis Product abundance and density estimates for harbour porpoise for the user specified area (see Figure 4-16) averaged for the summer 2007-2010.

	Abundance	Density (#/km²)
Point estimate	48,736	2.77
Lower confidence interval	26,037	1.48



	Abundance	Density (#/km²)
Upper confidence interval	66,428	3.78

5.6.3 Porpoise high density areas

Discrete and persistent areas of relatively high harbour porpoise densities in the wider UK marine area were identified by Heinänen and Skov (2015) through the use of detailed analyses of 18 year of survey data as part of the JCP. The analysis conducted by Heinänen and Skov (2015) showed that density estimates were high throughout parts of the North Sea in both summer and winter (>2 porpoise/km²), and as such the Southern North Sea SAC for harbour porpoise was designated. Specifically, high density areas were highlighted off the east of the Norfolk coast up to >3 harbour porpoise/km². In the vicinity of the Project, harbour porpoise were predicted to be present in higher densities during winter with a result of 2.1-2.4 harbour porpoise/km² (winter 2009). In contrast, during summer, the predicted densities were lower at a maximum of 0.912 harbour porpoise/km², suggesting seasonal variation at the Project site (Figure 5-11).

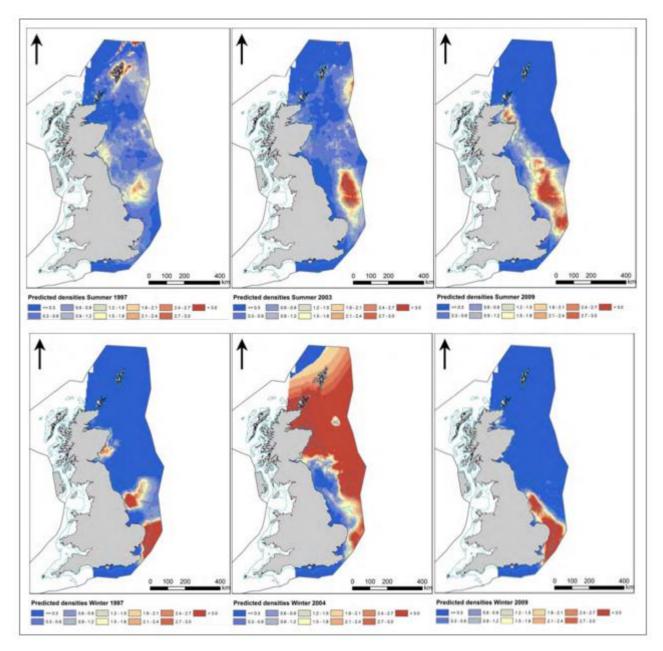


Figure 5-11 Predicted densities (#/km²) during summer (top panel) and winter (bottom panel) in management unit 1 for three different years in each model period (Heinänen and Skov 2015).

5.6.4 MERP distribution maps

The year-round high density in the southern North Sea has also been demonstrated by the analyses presented in Waggitt *et al.* (2020). Density maps were produced by Waggitt *et al.* (2020) as part of the MERP project (Figure 5-12) which shows higher densities expected offshore and in the mid North Sea compared to the southern North Sea and English Channel. However, these maps are not considered to be suitable for quantitative impact assessments (see Section 4.6) and are provided in this baseline characterisation for illustrative purposes only.



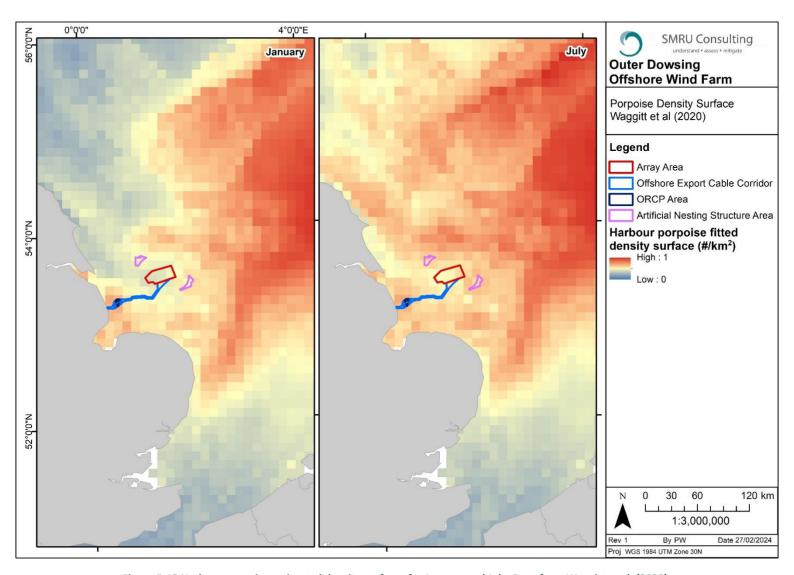
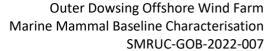


Figure 5-12 Harbour porpoise estimated density surfaces for January and July. Data from Waggitt et al. (2020).





5.7 Summary

It is anticipated that harbour porpoise will be present on a year-round basis at the Project site. Heinänen and Skov (2015) and Paxton *et al.* (2016) suggest that harbour porpoise density in the area differs by season, with higher densities in winter (though the data are highly variable). Density estimates obtained for harbour porpoise vary considerably from 0.280 harbour porpoise/km² to 2.77 harbour porpoise/km². The absolute adjusted average density estimate obtained from the site-specific surveys (1.63 porpoise/km²) is considered to be the best density estimate for the site-specific area. The most recent data for the wider area are from SCANS IV, so these will be presented in addition to the site-specific data. Alongside this, the quantitative impact assessment will present impacts using the SCANS III density surface to acknowledge that a) the site-specific density estimates are not applicable to the wider area and b) harbour porpoise density is not uniform.



Table 5.5 Harbour porpoise density estimates.

Source	Area	Temporal	Density (#/km²)	
HiDef site-specific surveys	The Project survey area	Monthly average Mar 2021 - Feb 2023	1.63	
Hornsea Four site-specific surveys	Hornsea Four survey area	Average winter	0.49	
Hornsea Four site-specific surveys	Hornsea Four survey area	Average summer	3.8	
Hornsea Four site-specific surveys	Hornsea Four survey area	Monthly average	1.74	
Dudgeon and Sheringham Shoal extension site-specific surveys	Dudgeon and Sheringham Shoal extension survey area	Average winter	0.65	
Dudgeon and Sheringham Shoal extension site-specific surveys	Dudgeon and Sheringham Shoal extension survey area	Average summer	1.46	
Dudgeon and Sheringham Shoal extension site-specific surveys	Dudgeon and Sheringham Shoal extension survey area	Average annual	1.05	
Sheringham Shoal construction monitoring	Sheringham Shoal survey area + 2 km buffer	October 2009	0.4	
SCANS III block density	Block O	Summer 2016	0.888	
SCANS III density surface	The Project site	Summer 2016	1.29	
SCANS III density surface	The Project ECC	Summer 2016	1.55	
SCANS IV block density	Block NS-C	Summer 2022	0.6027	
JCP Phase III	South Dogger Bank	Winter 2010	1.290	
JCP Phase III	South Dogger Bank	Spring 2010	0.491	
JCP Phase III	South Dogger Bank	Summer 2010	0.680	
JCP Phase III	South Dogger Bank	Autumn 2010	0.351	
JCP Phase III	South Dogger Bank	Average annual 2010	0.703	
JCP Phase III	Norfolk Bank	Winter 2010	0.958	
JCP Phase III	Norfolk Bank	Spring 2010	0.372	
JCP Phase III	Norfolk Bank	Summer 2010	0.498	
JCP Phase III	Norfolk Bank	Autumn 2010	0.280	
JCP Phase III	Norfolk Bank	Average annual 2010	0.528	
JCP data analysis tool	User specified area	Summer 2007-2010	2.77	
Heinänen and Skov (2015)	The Project area	Summer 2009	0.9 - 1.2	
Heinänen and Skov (2015)	The Project area	Winter 2009	2.1 - 2.4	



6 Bottlenose dolphin

6.1 MU

Bottlenose dolphins are found worldwide, and several distinct MUs exist for this species in European waters. The Project is located within the Greater North Sea MU, which has a population estimate, based on SCANS III data, of 2,022 bottlenose dolphins (95% CI: 548 – 7,453, CV: 0.75) (IAMMWG, 2023). The previous assessment undertaken was unable to provide a population estimate for this MU due to a lack of sightings in the area (IAMMWG, 2015a). Bottlenose dolphins are classified as a Priority Species under the UK Post-2010 Biodiversity Framework and listed as Least Concern by the IUCN red list. The conservation status of bottlenose dolphin in the UK concludes a favourable assessment of range, but an unknown conclusion for all other factors, resulting in an "Unknown" overall assessment of conservation status. As bottlenose dolphins are also listed under Annex II of the EU Habitats Directive, SACs must be assigned to aid in the protection of this species. There is one bottlenose dolphin SAC in the Greater North Sea MU, which is the Moray Firth SAC, located approximately 580 km from the Project.

6.2 Site-specific surveys

No bottlenose dolphins were identified in any of the 31 site-specific baseline surveys.

6.3 The Project geophysical surveys

No bottlenose dolphin were detected during the geophysical surveys of the Project area (Seiche, 2022b, a).

6.4 Nearby OWF surveys

No bottlenose dolphins were sighted during the site-specific aerial surveys at Hornsea Four. The only sighting of bottlenose dolphins at nearby OWF sites was during the site-specific surveys for Triton Knoll. One dolphin was observed during boat surveys and one during aerial surveys. These sightings were not sufficient to provide a density estimate for the survey area. The lack of sightings from the nearby OWFs does, however, suggest the density of bottlenose dolphins in the area is likely to be very low.

6.5 SCANS

No bottlenose dolphins were sighted in SCANS III survey block O, within which the Project is located.

The SCANS III data was used to obtain predicted density surfaces (Lacey *et al.*, 2022). Whilst the density is anticipated to be low at the Project, the modelled distribution in 2016 shows that densities are expected to be higher in other areas such as the Celtic Sea and Bay of Biscay (Lacey *et al.*, 2022) (Figure 6-1). Data were extracted from the density surface, which showed that there was a maximum of 0.002 bottlenose dolphin/km² within the array area, with a similarly low maximum density of 0.002 bottlenose dolphin/km² within the ECC. Due to the nature of coastal dolphin populations around the UK, large scale surveys such as SCANS are not designed to collect data at a spatial scale suitable to capture sufficient information to obtain abundance estimates for small coastal populations of bottlenose dolphins. It is, therefore, typically more appropriate to use mark-recapture analysis from photo-ID data such as that used by Arso Civil *et al.* (2019) to obtain abundance estimates for these small coastal populations (Lacey *et al.*, 2022).

In SCANS IV survey block NS-C there was an estimated block-wide abundance of 2,520 bottlenose dolphins (95% CI: 57-6,616) and an estimated density of 0.0419 dolphins/ km² (CV: 0.683). The SCANS IV data, while limited to summer months only, do provide a robust absolute density estimate for offshore bottlenose dolphins, that has been corrected for availability and perception bias.



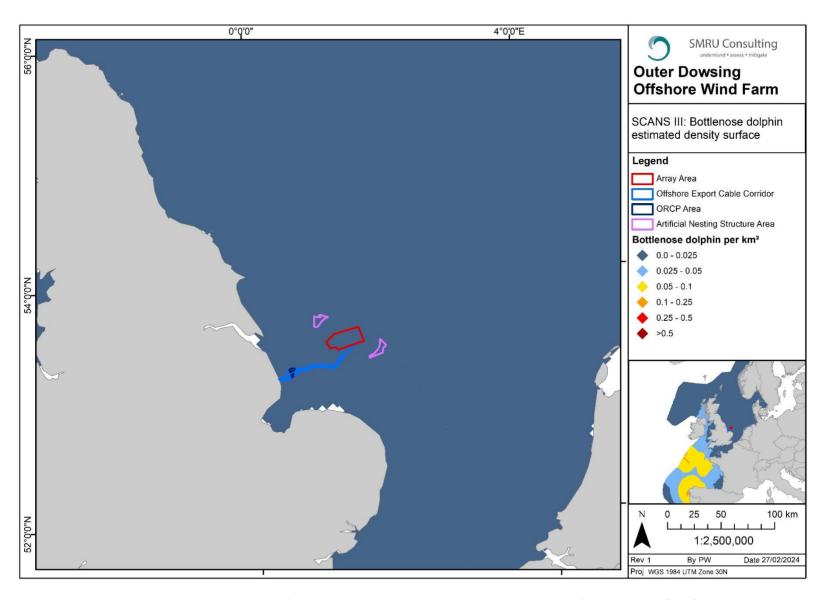


Figure 6-1 Estimated density surface for bottlenose dolphins using the SCANS III data. Data from Lacey et al. (2022).



6.6 JCP

6.6.1 JCP Phase III

Paxton *et al.* (2016) used the JCP dataset to provide estimates of the density of bottlenose dolphin (Figure 6-2) at South Dogger Bank (14,265 km²) and Norfolk Bank (14,295 km²) during all seasons (neither area overlaps directly with the Project but are located to the north and south of the Project site). All bottlenose dolphin density estimates at both locations were \leq 0.002 bottlenose dolphin/km² (Table 6.1). Densities are consistently low across all seasons, with a 0 abundance and density estimate during winter 2010 for both areas.

Table 6.1 JCP Phase III abundance and density estimates for bottlenose dolphin in 2010 for the South Dogger Bank and Norfolk Bank regions (Paxton *et al.*, 2016).

Season	Abundance point estimate	95% CI	Density (#/km²)
South Dogger Bank	(
Winter	0	0 – 240	0.000
Spring	30	10 – 110	0.002
Summer	30	10 – 100	0.002
Autumn	10	0 - 30	0.001
Average	18	-	0.001
Norfolk Bank			
Winter	0	0 – 120	0.000
Spring	20	0 – 50	0.001
Summer	20	0 - 60	0.001
Autumn	10	0 - 20	0.001
Average	13	-	0.001

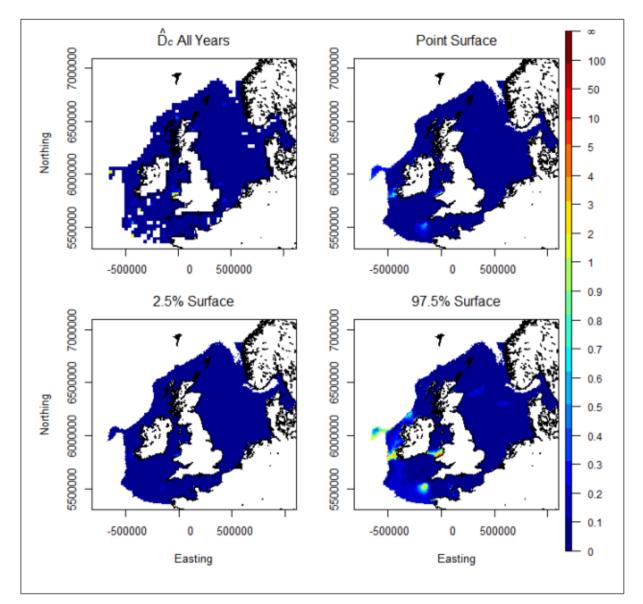


Figure 6-2 Predicted bottlenose dolphin densities for summer 2010 (Paxton *et al.*, 2016). Top left; input densities (summer all years), top right; point estimate of cell densities, bottom left; lower (2.5%) confidence limit on cell densities, bottom right; upper (97.5%) confidence limit on cell densities (dolphins/km²). Note that the top left plot exaggerates the spatial coverage of the relevant effort.

6.6.2 JCP data analysis tool

Utilising the JCP data analysis tool for the user specified area, bottlenose dolphins in the Project area were estimated to have a density of 0.0018 bottlenose dolphin/km² (95% CI: 0.0009-0.0031) (Table 6.2). This estimate is for the summer months only and is not representative of densities at other times of the year.

Table 6.2 JCP Phase III Data Analysis Product abundance and density estimates for bottlenose dolphin for the user specified area (see Figure 6-3) averaged for the summer 2007-2010.

	Abundance	Density (#/km²)
Point estimate	32	0.0018
Lower confidence interval	16	0.0009
Upper confidence interval	55	0.0031

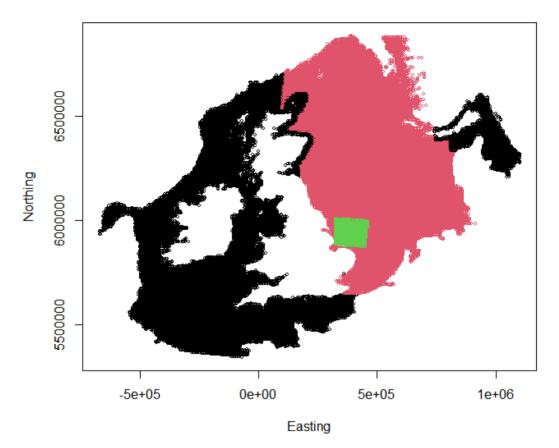


Figure 6-3 The user specified area used to extract bottlenose dolphin abundance and density estimates from the JCP III R code. The map shows the whole area under consideration (black + pink + green), the bottlenose dolphin Greater North Sea MU (pink) and the specified area of interest (green).

6.6.3 MERP distribution maps

The year-round very low density in the southern North Sea has also been demonstrated by the analyses presented in Waggitt *et al.* (2020), and, indeed, densities are estimated to be low within the entirety of the North Sea (Figure 6-4). However, these maps are not considered to be suitable for quantitative impact assessments (see Section 4.6) and are provided in this baseline characterisation for illustrative purposes only.



Figure 6-4 Bottlenose dolphin estimated density surfaces for January and July. Data from Waggitt et al. (2020).



6.7 Bottlenose dolphins in northeast English waters

Since the 1990s, the coastal east Scotland population has been recorded ranging further south in the Tay Estuary and the Firth of Forth and, more recently, the coast of northern England (Wilson *et al.*, 2004, Arso Civil *et al.*, 2019, Arso Civil *et al.*, 2021, Arso Civil *et al.*, 2022), indicating expanded home ranges of the Moray Firth bottlenose dolphins. There is no density estimate available for bottlenose dolphins along the east coast of England or in the vicinity of the Project, however, from recent research and citizen science, it is known that bottlenose dolphins are likely to be present to some degree.

The Citizen Fins project is ongoing, with the aim of understanding how the pattern of movements of bottlenose dolphins along the east coast of Scotland and into northeast England is changing. Because it is not currently possible for the Sea Mammal Research Unit (University of St Andrews) and the Lighthouse Field Station (University of Aberdeen) to survey the entirety of the populations distributional range, the Citizen Fins project has been created to allow the public to submit photographs of bottlenose fins in areas not covered by the existing surveys (i.e. south of St Andrews Bay and the Tay Estuary). The intention is to obtain photographs of sufficient quality that dorsal fins can be matched to the East Coast Scotland Bottlenose Dolphin Photo-ID Catalogue.

Thus far, Citizen Fin images have been submitted for sightings as far south as Flamborough Head (Yorkshire coast). As of April 2022, 1,114 images have been submitted from sites along the east coast of Scotland and northeast England. Of these, 1,053 were suitable for data processing, resulting in the preliminary identification of 98 individual dolphins from the east coast of Scotland population (Arso Civil *et al.*, 2022). It is important to note that these data have yet to be divided to separate the northeast England images from the east Scottish ones. Therefore, the Citizen Fins submissions include some locations from the east-coast of Scotland, and as such, the total number of individuals preliminarily identified may not reflect the number of individuals seen in northeast England. All that can be stated at the current time is that there is preliminary evidence to show that sightings of bottlenose dolphins in northeast English waters have shown matches to the East Coast Scotland population.

6.8 Assumed density estimates

Given the fact that no reliable density estimate is available for coastal bottlenose dolphin in the vicinity of the Project, this baseline characterisation presents four approaches to obtaining an assumed density estimate for coastal bottlenose dolphins in relation to the Project:

- 1) Assume a uniform density across the GNS MU,
- 2) Assume a uniform density across the CES MU, and assume this applies in English waters,
- 3) Assume a uniform density within 2 km of the mainland coast in the CES MU, and assume this applies in English waters,
- 4) Assume a uniform density within the 25 m depth contour of the mainland in the CES MU, and assume this applies in English waters.

6.8.1 Greater North Sea (GNS) MU

Technically, the Project is located within the GNS MU for bottlenose dolphins. According to the IAMMWG (2023), the latest abundance estimate for this MU is 2,022 dolphins, however, data on the distribution of these dolphins within the MU are lacking. Thus, the only possible density estimate that can be assumed using these data is to assume that bottlenose dolphins are uniformly (evenly) distributed across the entire MU. This results in a uniform density estimate of 0.003 dolphins/km² across the GNS MU.



6.8.2 Coastal East Scotland (CES) MU

Since the Citizen Fins project has demonstrated that at least a portion of the east coast Scotland population have been sighted in northeast English waters, it could be assumed that the density of dolphins in the vicinity of the Project is similar to that of the density within the CES MU. Unfortunately, density estimates for bottlenose dolphins within the CES MU are also lacking, since the primary surveys for this species are photo-ID surveys which, while they allow for the estimation of the population size, are not suitable to provide a density estimate within the areas surveyed. Assuming that bottlenose dolphins are uniformly distributed throughout the CES MU, the resulting density estimate is 0.010 dolphins/km². Since it is known that bottlenose dolphins within the CES MU are located primarily in the nearshore coastal waters (Quick *et al.*, 2014), it seems prudent to refine this estimate to take this distribution into account.

6.8.3 2 km from the coast and within the 25 m depth contour

It has been reported that, outside of the Moray Firth (in both Tayside and Fife, and between Montrose and Aberdeen), bottlenose dolphins are encountered more often in waters less than 20 m deep and within 2 km of the coast (Quick et al., 2014). Therefore, it could be assumed that they maintain this coastal distribution pattern throughout their range, and so are also located in similar environmental conditions in the northeast English waters.

A 2 km buffer from the coast was created for the mainland Scotland part of the CES MU, and it was assumed that bottlenose dolphins were uniformly spread within this area. This results in a uniform density estimate of 0.110 dolphins/km² within 2 km from the mainland coast in the CES MU. It could then be assumed that this density estimate is also valid in the northeast English waters.

Additionally, to be conservative, it was assumed that bottlenose dolphins are located within the 25 m depth contour of the Scottish mainland within the CES MU (slightly further than the reported 20 m depth contour). Assuming that bottlenose dolphins were uniformly distributed, this results in a density estimate of 0.104 dolphins/km² within the 25 m depth contour in the CES MU. It could then be assumed that this density estimate is also valid in the northeast English waters.

It should be noted that there are no data at all on the distribution of bottlenose dolphins in northeast English waters. Given the very different seascapes and environment between the CES MU and the northeast English waters, it is possible that bottlenose dolphin distribution may differ between these two areas, and that the assumption that they are primarily limited to 2 km from the coast or within the 20 m depth contour is invalid.

6.8.4 Assumption of uniform density

The key issue with using a uniform density estimate, is that bottlenose dolphins are not distributed evenly throughout their range. They are most commonly encountered in groups; for example, between 2017 and 2019 in the Tay Estuary and adjacent waters, estimated group sizes ranged from 1 to 50 animals, with an average group size of 11 across 157 separate encounters (Arso Civil *et al.*, 2021). Thus, a uniform density estimate is not really suitable for a species that is known to have a patchy and highly changeable distribution within their range at any one time. While assuming a uniform density estimate is by no means ideal, it is currently the only way to estimate potential densities in the vicinity of the Project in the absence of any data for the northeast English waters.

Table 3 Calculated bottlenose dolphin density estimates.

Calculated Density Method	Area (km²)	# animals	Density (#/km²)
Uniform density across the GNS MU	642,520	2,022	0.003
Uniform density across the CES MU	21,579	224	0.010



Calculated Density Method	Area (km²)	# animals	Density (#/km²)
Uniform density within 2 km of the mainland coast in the CES MU	2,033	224	0.110
Uniform density within the 25 m depth contour of the mainland in the CES MU	2,145	224	0.104

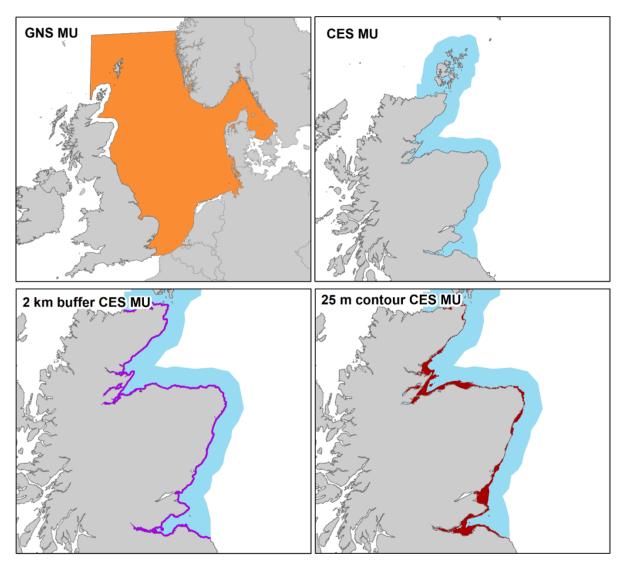


Figure 6-5 Spatial extents used to calculate bottlenose dolphin density estimates.

6.9 Summary

No bottlenose dolphin sightings occurred during the site-specific surveys. Sightings of bottlenose dolphins in the southern North Sea are generally considered to be movements of the east coast Scotland resident population at the most southerly extent of their range (Thompson *et al.*, 2011, Quick *et al.*, 2014). If bottlenose dolphins are present in the vicinity of the Project, it is expected that these would be at very low densities (Table 6.4). Of the density estimates available, 0.002 dolphins/km² is considered to be the best density estimate to take forward to the quantitative impact assessment as this density has been calculated using various data sources across various seasons and years (SCANS III, JCP III South Dogger Bank area and JCP data analysis tool).



Since there is no reliable density estimate for the bottlenose dolphins in the vicinity of the Project, assumptions have had to be made about their distribution based on knowledge of the distribution of bottlenose dolphins in the CES MU. Therefore, it is highly precautionary to assume a density of 0.110 dolphins/km² within 2 km of the coast in northeast English waters. The Applicant acknowledges that this density estimate is by no means ideal, however, in the absence of any data on bottlenose dolphin density in the vicinity of the Project or the wider northeast English waters, it serves as a precautionary estimate.

Therefore, acknowledging the lack of data available, the quantitative impact assessment for bottlenose dolphins will present results assuming two different density estimates: 0.0419 dolphins/km² (throughout the entire impact range) and 0.11 dolphins/km² (within 2 km from the coast only).

Table 6.4 Bottlenose dolphin density estimates.

Source	Area	Temporal	Density (#/km²)		
HiDef site-specific surveys	The Project survey area	2021-2023	0.000		
SCANS III	Block O	Summer 2016	0.000		
SCANS III density surface	The Project array area	Summer 2016	0.002		
SCANS III density surface	The Project ECC	Summer 2016	0.002		
SCANS IV	Block NS-C	Summer 2022	0.0419		
JCP Phase III	South Dogger Bank	Winter 2010	0.000		
JCP Phase III	South Dogger Bank	Spring 2010	0.002		
JCP Phase III	South Dogger Bank	Summer 2010	0.002		
JCP Phase III	South Dogger Bank	Autumn 2010	0.001		
JCP Phase III	South Dogger Bank	Average annual 2010	0.001		
JCP Phase III	Norfolk Bank	Winter 2010	0.000		
JCP Phase III	Norfolk Bank	Spring 2010	0.001		
JCP Phase III	Norfolk Bank	Summer 2010	0.001		
JCP Phase III	Norfolk Bank	Autumn 2010	0.001		
JCP Phase III	Norfolk Bank	Average annual 2010	0.001		
JCP data analysis tool	User specified area	Summer 2007-2010	0.002		
Uniform density within GNS	MU		0.003		
Uniform density within CES	MU		0.010		
Uniform density within 2 km	from mainland Scotland within (CES MU	0.110		
Uniform density within 25 m	depth contour of mainland Scot	land within CES MU	0.104		

7 White-beaked dolphin

7.1 MU

White-beaked dolphins are wide-spread across the continental shelf in northern Europe. A single MU for white-beaked dolphins has been assigned, labelled the Celtic and Greater North Seas MU. Within this MU, the abundance of white-beaked dolphins is estimated to be 43,951 (95% CI: 28,439 – 67,924, CV: 0.22) (IAMMWG,



2023). This is a slight increase in the previous (revised) estimate presented in 2015 which had an estimated population size of 37,309 animals (95% CI: 21,464 - 64,852, CV:0.29) (IAMMWG, 2015a, 2022).

7.2 Site-specific surveys

A total of three white-beaked dolphins were identified in the 31 site-specific baseline surveys (<1% of the marine mammal sightings). All three were sighted in March 2021 (Table 7.1). This resulted in a relative density estimate of 0.02 dolphins/km² in that month, but an overall average of 0.0006 dolphins/km² across the 31 surveys.

Table 7.1 Number of white-beaked dolphins recorded from the HiDef surveys (the Project array area plus 4 km buffer) between March 2021 and February 2023 (HiDef, 2023).

	M	Mar Apr May Jun		Ji	ul	Aug Sep			Oct	Nov	Dec	Jan	Feb																						
Year 1	r 1 3		3		3		3		3		3		3		3		3			0	()		0	()	()	()	0	0	0	0	0
Year 2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0																

7.3 The Project geophysical surveys

No white-beaked dolphins were detected during the Project geophysical surveys (Seiche, 2022b, a).

7.4 Nearby OWF surveys

A total of 82 white-beaked dolphins were recorded during the site-specific surveys for Hornsea Four. The sightings were concentrated in the northern part of the survey area, however, due to insufficient data, the spatial patterns cannot be commented on. Sightings of the white-beaked dolphins were higher in autumn and winter months (September to January), compared to summer months. In addition, 78% of the total recorded sightings were from year 1, indicating large annual variation. Due to lack of data, a density estimate could not be provided. During the Former Hornsea Zone surveys, 298 white-beaked dolphins were sighted resulting in an average density of 0.16 white-beaked dolphins/km². Similarly, there was a clear seasonal pattern with more sightings in the winter months (November to January) (Orsted, 2021).

There were no sightings of white beaked dolphins during any of the other nearby site-specific OWF surveys using boat based or aerial survey methods.

7.5 SCANS

In SCANS III survey block O there was an estimated block-wide abundance of 143 white-beaked dolphins (95% CI: 0-490, CV: 0.970) and an estimated density of 0.002 white-beaked dolphins/km² (CV: 0.907). Compared to the other survey blocks included within the SCANS III survey, block O was estimated to have relatively low densities of white-beaked dolphins. The high degree of uncertainty in the abundance and density estimates provided for block O (CV: 0.907) is also of note.

The SCANS III data was used to obtain predicted density surfaces (Lacey *et al.*, 2022). This shows that the Project survey area falls within a low density area (Figure 7-1). Data were extracted from the density surface, which showed a maximum of 0.001 white-beaked dolphin/km² in the array area, with slightly higher maximum predicted density of 0.007 white-beaked dolphin/km² within the ECC. Using the modelled 2016 distribution, areas of higher density are predicted in the northern North Sea to the east of Scotland and to the north and west of Scotland (Lacey *et al.*, 2022).

In SCANS IV survey block NS-C there was an estimated block-wide abundance of 894 white-beaked dolphins (95% CI: 12 - 2,387) and an estimated density of 0.0149 dolphins/ km² (CV: 0.758). Compared to the other survey blocks in the North Sea, block NS-C was estimated to have relatively low densities of white-beaked dolphins.



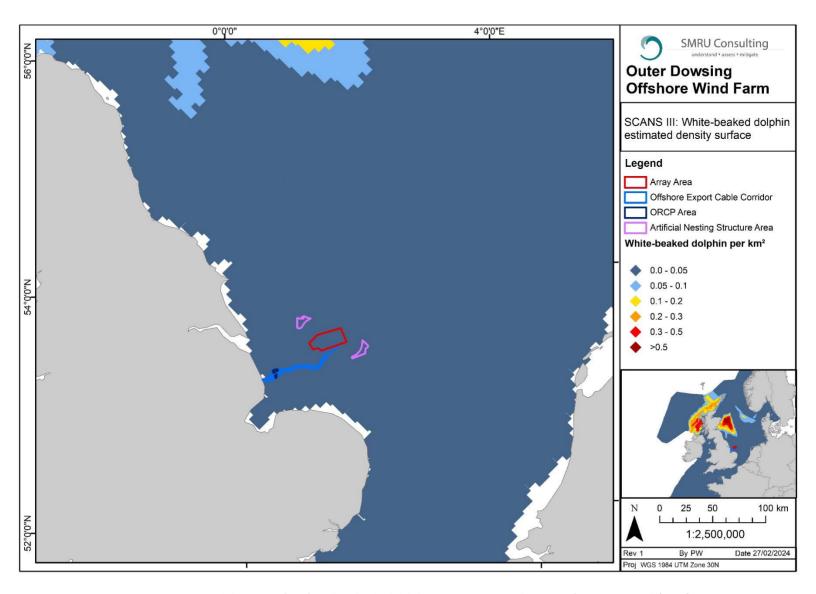


Figure 7-1 Estimated density surface for white-beaked dolphins using SCANS III data. Data from Lacey et al. (2022).



7.6 JCP

7.6.1 JCP Phase III

Paxton *et al.* (2016) used the JCP dataset to provide estimates of the density of white-beaked dolphin (Figure 7-2) at South Dogger Bank (14,265 km²) and Norfolk Bank (14,295 km²) during all seasons (neither area overlaps directly with the Project but are located to the north and south of the Project site). At South Dogger Bank, spring density estimates were highest at 0.050 white-beaked dolphin/km² (95% CI: 0.020 –0.126) and winter density estimates were lowest at 0.012 white-beaked dolphin/km² (95% CI: 0.006 –0.027). At Norfolk Bank, spring densities were also estimated as the highest at 0.005 white-beaked dolphin/km² (95% CI: 0.002 -0.015), although all densities were low with all other seasons estimated at <0.002 white beaked dolphin/km² (Table 7.2).

Table 7.2 JCP Phase III abundance and density estimates for white-beaked dolphin in 2010 for the South Dogger Bank and Norfolk Bank regions (Paxton et al., 2016).

Season	Abundance point estimate	95% CI	Density (#/km²)
South Dogger Bank	(
Winter	170	80 – 380	0.012
Spring	710	290 – 1790	0.050
Summer	290	170 – 610	0.020
Autumn	220	90 - 420	0.015
Average	348	-	0.024
Norfolk Bank			
Winter	20	10 – 40	0.001
Spring	70	30 – 220	0.005
Summer	30	20 – 60	0.002
Autumn	20	10 - 50	0.001
Average	35	-	0.002



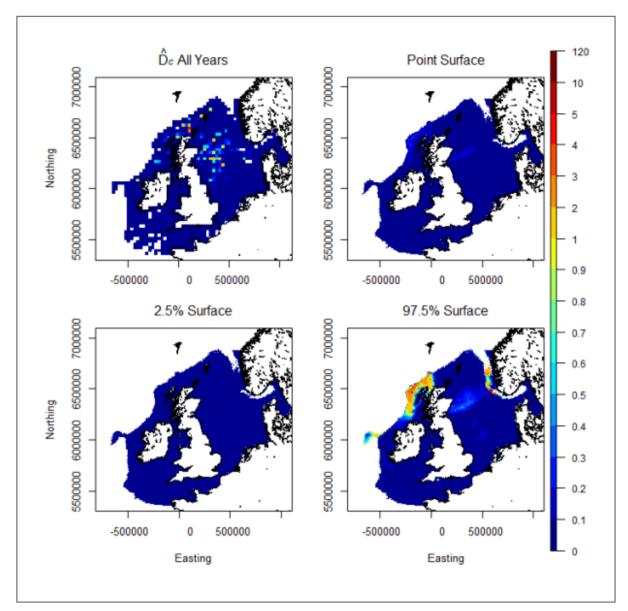


Figure 7-2 Predicted white-beaked dolphin densities for summer 2010 (Paxton *et al.*, 2016). Top left; input densities (summer all years), top right; point estimate of cell densities, bottom left; lower (2.5%) confidence limit on cell densities, bottom right; upper (97.5%) confidence limit on cell densities (dolphins/km²). Note that the top left plot exaggerates the spatial coverage of the relevant effort.

7.6.2 JCP data analysis tool

Utilising the JCP data analysis tool for the user specified area, white-beaked dolphins in the Project area were estimated to have a density of 0.000 white-beaked dolphin/km².

7.6.3 MERP distribution maps

Density distribution maps from Waggitt *et al.* (2020) show a clear pattern of higher densities of white-beaked dolphins within the northern North Sea, particularly around the coast of Scotland, with densities decreasing southwards along the east coast of England (Figure 7-3). Within the Project area and ECC, densities were predominantly low during both winter and summer. However, these maps are not considered to be suitable for quantitative impact assessments (see Section 4.6) and are provided in this baseline characterisation for illustrative purposes only.



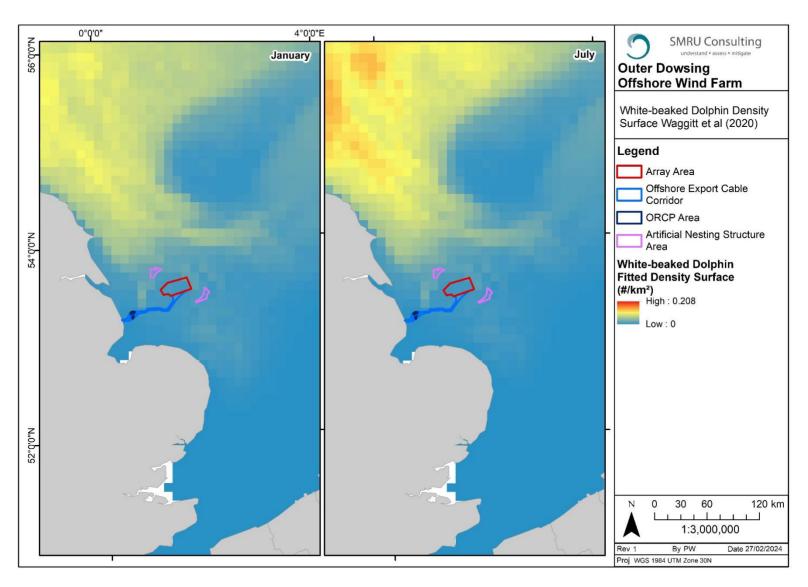


Figure 7-3 White-beaked dolphin estimated density surfaces in January and July. Data from Waggitt et al. (2020).



7.7 Summary

It is expected that white-beaked dolphins will be present year-round in the vicinity of the Project, although they present at relatively low densities. Density estimates obtained for white-beaked dolphin vary from 0.00 white-beaked dolphin/km² to 0.05 white-beaked dolphin/km² (Table 7.3). The average relative density estimate obtained from the site-specific surveys (0.0006/km²) is a relative not absolute density estimate (e.g., not corrected for the number of dolphins underwater and not detected), therefore it will not be taken forward to the quantitative assessment. The most recent data for the area are from SCANS IV, so these will be used in the quantitative assessment. Alongside this, the quantitative impact assessment will present impacts using the SCANS III density surface to acknowledge that a) the site-specific density estimates are not applicable to the wider area and b) white-beaked dolphin density is not uniform.

Table 7.3 White-beaked dolphin density estimates.

Source	Area	Temporal	Density (#/km²)		
HiDef site-specific surveys	The Project survey area	Average March 2021 – Feb 2023	0.0006		
Hornsea Four site- specific surveys	Hornsea Four survey area	Monthly average	0.16		
SCANS III	Block O	Summer 2016	0.002		
SCANS III density surface	The Project array area	Summer 2016	0.001		
SCANS III density surface	The Project ECC	Summer 2016	0.007		
SCANS IV	Block NS-C	Summer 2022	0.0149		
JCP Phase III	South Dogger Bank	Winter 2010	0.012		
JCP Phase III	South Dogger Bank	Spring 2010	0.050		
JCP Phase III	South Dogger Bank	Summer 2010	0.020		
JCP Phase III	South Dogger Bank	Autumn 2010	0.015		
JCP Phase III	South Dogger Bank	Average annual 2010	0.024		
JCP Phase III	Norfolk Bank	Winter 2010	0.001		
JCP Phase III	Norfolk Bank	Spring 2010	0.005		
JCP Phase III	Norfolk Bank	Summer 2010	0.002		
JCP Phase III	Norfolk Bank	Autumn 2010	0.001		
JCP Phase III	Norfolk Bank	Average annual 2010	0.002		
JCP data analysis tool	User specified area	Summer 2007-2010	0.000		

8 Minke whale

8.1 MU

Minke whales are known to be distributed globally and are listed as Least Concern on the IUCN red list but are protected as an EPS and as a Priority Species under the UK Post-2010 Biodiversity Framework. In European waters, a single MU for minke whales has been assigned, labelled the Celtic and Greater North Seas MU. Within



this MU, the abundance of minke whales is estimated to be 20,118 (95% CI: 14,061 – 28,786, CV: 0.18) (IAMMWG, 2023). The represents a similar value to the previous abundance estimate of 20,136 (95% CI: 11,498 – 35,264, CV: 0.29) (IAMMWG, 2015a). The conservation status of minke whales in UK waters has been assessed as unknown (JNCC, 2019d). Until 2020, there were no protected areas assigned to minke whales in UK waters, but two MPAs have been recently proposed and designated in Scottish waters (Sea of the Hebrides and Southern Trench (Table 3.1)).

8.2 Site-specific surveys

A single minke whale was identified in the 31 site-specific baseline surveys (<1% of the marine mammal sightings). It was sighted in April 2022 (Table 7.1).

Table 8.1 Number of white-beaked dolphins recorded from the HiDef surveys (the Project array area plus 4 km buffer) between March 2021 and February 2023 (HiDef, 2023).

	M	lar	Α	pr	М	ay		lun	J	ul	A	ug	Se	ep	Oct	Nov	Dec	Jan	Feb
Year 1	(0		0	()		0	()	()	()	0	0	0	0	0
Year 2	0	0	0	I	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

8.3 The Project geophysical surveys

No minke whales were detected during the geophysical survey of the Project area (Seiche, 2022b, a).

8.4 Nearby OWF surveys

During the Hornsea Four site-specific surveys, 12 minke whales were recorded throughout the study area. There was insufficient data to comment on the spatial distribution, even though more sightings were recorded in the southern part of the study area. Minke whales were only sighted during the summer months (May to August), indicating a clear seasonal pattern. Due to the lack of data, a density could not be provided. A total of 158 minke whales were sighted during the Former Hornsea Zone surveys, with higher encounter rates in the summer months and absence of whales during the winter months (Orsted, 2021).

The Dudgeon and Sheringham Shoal Extension site-specific surveys observed one minke whale from 2 years of sites-specific aerial surveys conducted between May 2018 and April 2020 resulting in a relative density estimate of 0.01 minke whale/km² for July 2018 (Royal HaskoningDHV, 2021). This is the same density estimate as for the SCANS III survey. Minke whale were not observed during any other nearby site-specific surveys.

8.5 SCANS

A total of 603 minke whales were estimated to be located within survey block O (95% CI: 109-1,670, CV: 0.657) with an estimated density of 0.010 whales/km² (CV: 0.657). Compared to the other survey blocks included within the SCANS III survey, block O was estimated to have relatively low densities of minke whales.

The SCANS III data was used to obtain predicted density surfaces (Lacey *et al.*, 2022). Around the UK, using the 2016 model, highest predicted densities for minke whale are across the central and north-eastern North Sea and in shelf waters in the west of Scotland (Lacey *et al.*, 2022). The Project array area falls within a low density area of 0.009 minke whale/km², with a slightly higher maximum predicted density of 0.011 within the ECC (Figure 8-1, values extracted from the density surface).

In SCANS IV survey block NS-C there was an estimated block-wide abundance of 412 minke whales (95% CI: 4 - 1,392) and an estimated density of 0.0068 whales/ km² (CV: 0.881). Compared to the other survey blocks in the North Sea, block NS-C was estimated to have relatively low densities of minke whales.



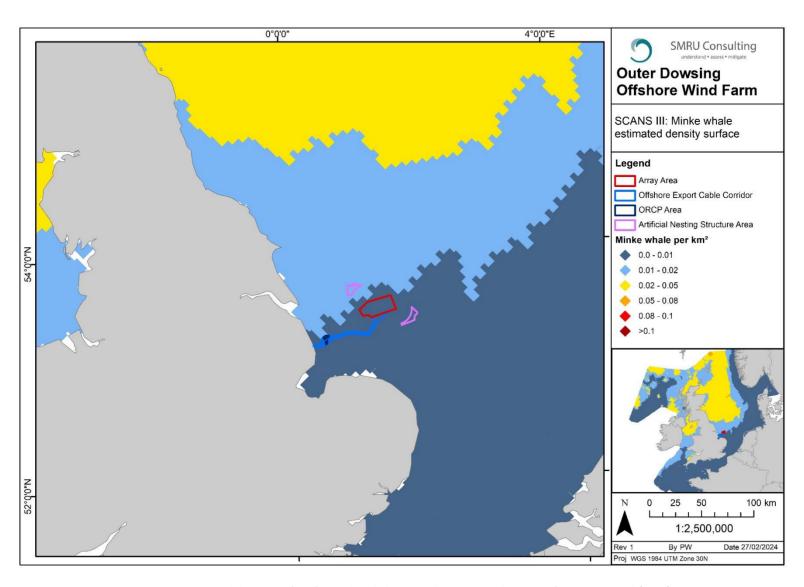


Figure 8-1 Estimated density surface for minke whales using the SCANS III data. Data from Lacey et al. (2022).



8.6 JCP

8.6.1 JCP Phase III

Paxton *et al.* (2016) used the JCP dataset to provide estimates of the density of minke whale (Figure 8-2) at South Dogger Bank (14,265 km²) and Norfolk Bank (14,295 km²) during all seasons (neither area overlaps directly with the Project but are located to the north and south of the Project site). At South Dogger Bank, summer density estimates are anticipated to be highest at 0.022 minke whale/km² (95% CI: 0.012-0.070). Densities in all other seasons were estimated at <0.005 minke whale/km². At Norfolk Bank, summer densities were also estimated as the highest at 0.002 minke whale/km² (95% CI: 0.001-0.008), although all densities were low with all other seasons estimated at <0.001 minke whale/km² (Table 8.2).

Table 8.2 JCP Phase III abundance and density estimates for minke whale in 2010 for the South Dogger Bank and Norfolk Bank regions (Paxton *et al.*, 2016).

Season	Abundance point estimate	95% CI	Density (#/km²)		
South Dogger Bank	(
Winter	0	0 - 100	<0.0001		
Spring	70	0 - 650	0.0049		
Summer	310	170 - 1000	0.0217		
Autumn	20	0 - 60	0.0014		
Average	100	-	0.0070		
Norfolk Bank					
Winter	0	0 - 10	<0.001		
Spring	10	0 - 80	0.001		
Summer	30	10 -120	0.002		
Autumn	0	0 - 10	<0.001		
Average	10	_	0.001		



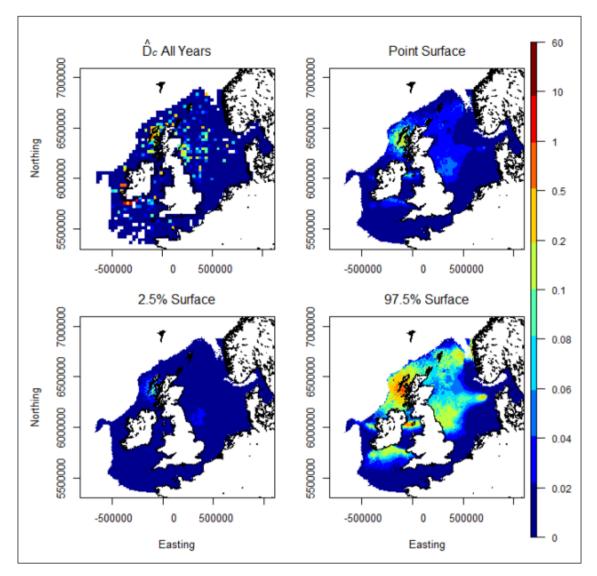


Figure 8-2 Predicted minke whale densities for summer 2010 (Paxton *et al.*, 2016). Top left; input densities (summer all years), top right; point estimate of cell densities, bottom left; lower (2.5%) confidence limit on cell densities, bottom right; upper (97.5%) confidence limit on cell densities (dolphins/km²). Note that the top left plot exaggerates the spatial coverage of the relevant effort.

8.6.2 JCP data analysis tool

Utilising the JCP data analysis tool for the user specified area, minke whale in the Project area were estimated to have a density of 0.000 minke whales/km².

8.6.3 MERP distribution maps

The density distribution maps produced by Waggitt *et al.* (2020) show high density of minke whales within the Northern North Sea, with densities decreasing southwards along the east coast of England, being rare south of Humberside (Figure 8-3). Densities are estimated to be highest in July with low densities during the winter months (Waggitt *et al.*, 2020). This aligns with other data sources e.g. Paxton *et al.* (2016) which suggest that minke whales are seasonal visitors to UK waters, with higher densities predicted in summer months. However, these maps are not considered to be suitable for quantitative impact assessments (see Section 4.6) and are provided in this baseline characterisation for illustrative purposes only.

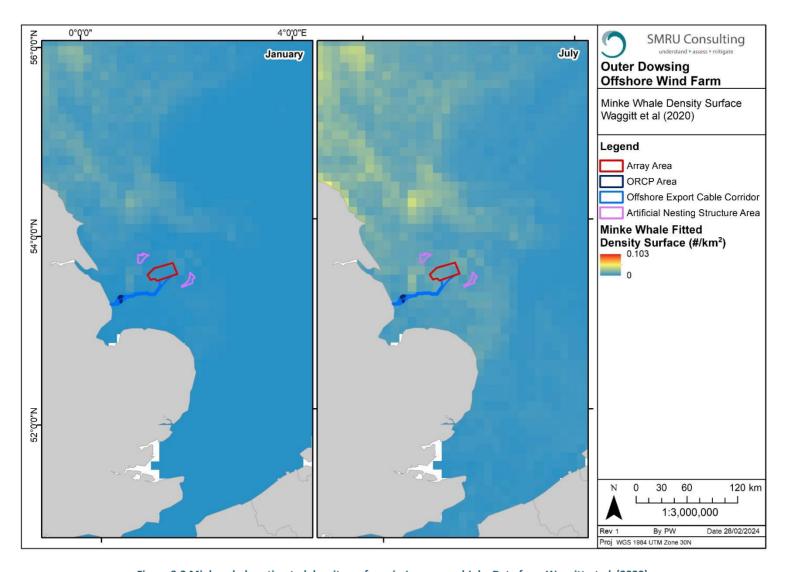


Figure 8-3 Minke whale estimated density surfaces in January and July. Data from Waggitt et al. (2020).



8.7 Summary

Minke whales are considered to be summer visitors to the survey area and were observed in greatest densities during the summer months (Paxton *et al.*, 2016). Density estimates obtained for minke whale vary from <0.0001 minke whale/km² to 0.0217 minke whale/km². It is suggested that the density taken forward to the quantitative impact assessment is the SCANS III density surface alongside the SCANS IV block-wide density estimate, though acknowledging this is relevant to the summer months only.

Table 8.3 Minke whale density estimates.

Source	Area	Temporal	Density (#/km²)
HiDef site-specific surveys	The Project survey area	Monthly average Year 1	0.00
Dudgeon and Sheringham Shoal extension site-specific surveys	Dudgeon and Sheringham Shoal extension survey area	July 2018	0.01
SCANS III	Block O	Summer 2016	0.010
SCANS III density surface	The Project array area	Summer 2016	0.009
SCANS III density surface	The Project ECC	Summer 2016	0.011
SCANS IV	Block NS-C	Summer 2022	0.0068
JCP Phase III	South Dogger Bank	Winter 2010	<0.0001
JCP Phase III	South Dogger Bank	Spring 2010	0.0049
JCP Phase III	South Dogger Bank	Summer 2010	0.0217
JCP Phase III	South Dogger Bank	Autumn 2010	0.0014
JCP Phase III	South Dogger Bank	Average 2010	0.0070
JCP Phase III	Norfolk Bank	Winter 2010	<0.001
JCP Phase III	Norfolk Bank	Spring 2010	0.001
JCP Phase III	Norfolk Bank	Summer 2010	0.002
JCP Phase III	Norfolk Bank	Autumn 2010	<0.001
JCP Phase III	Norfolk Bank	Average 2010	0.001
JCP data analysis tool	User specified area	Summer 2007- 2010	0.00

9 Harbour seal

The overall Conservation Status of harbour seals in UK waters has been assessed as Unfavourable – Inadequate (JNCC, 2019c). The range of the species was classified as "Favourable", the habitat was classified as "Unknown" and the population size and future prospects were classified as "Unfavourable – Inadequate". The 2019 assessment states that there was an increase in harbour seal abundance in the UK since the 2013 assessment, and as a result, the current assessment has improved from Unfavourable-Bad to Unfavourable-Inadequate and the UK wide trend was considered to have changed from declining to improving. The most recent UK wide harbour seal population estimate (based on the 2016-2021 counts) in 2021 is 42,900 individuals (95% CI:35,100



- 57,100) of which, 5,100 (95% CI: 4,100 - 6,700) were in England (11.9 % of UK total) (SCOS, 2023)(Figure 9-1).

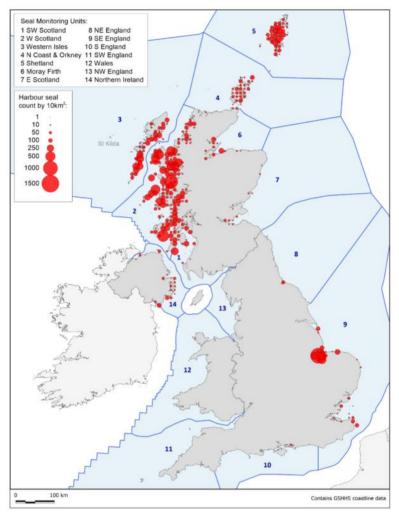


Figure 9-1 August distribution of harbour seals around the British Isles by 10 km squares based on the most recent available haulout count data collected up until 2021 (SCOS, 2023).

9.1 Site-specific surveys

A total of 36 harbour seals were identified in the 31 site-specific baseline surveys (2% of the marine mammal sightings) (Table 9.1). Sightings occurred mainly in the summer months, and across the survey area (HiDef, 2023). Additionally, 365 unidentified seals were sighted across the 31 surveys, throughout the year and across the survey area (Table 9.1) (HiDef, 2023).

Table 9.1 Number of harbour seals and unidentified seal recorded from the HiDef surveys (the AfL array area plus 4 km buffer) between March 2021 and February 2023 (HiDef, 2023).

	M	lar	Α	pr	М	ay	J	lun	Jı	ul	A	ıg	Se	ep	Oct	Nov	Dec	Jan	Feb
	Harbour seals																		
Year 1	0 1 3 6 5 4		4		1	0	0	0	0										
Year 2	Year 2 0 0 0 0 4 0 2 0 0 1 1 0 2 0 2 0 0 0																		
	Unidentified seals																		



	V	lar	Α	pr	M	ay		lun	Ji	ul	A	ug	Se	ep	Oct	Nov	Dec	Jan	Feb
Year 1		4	1	.2	2	.5		18	1	7		3	2	9	6	4	3	5	6
Year 2	2	6	1 0	5	24	26	1 6	18	1 0	3	2 2	7	1 5	2	6	3	15	7	4

Similarly, during surveys of nearby windfarms, including Triton Knoll, Lincs, Dudgeon and Sheringham Shoal Extensions, harbour seals were observed from boat-based surveys. Seals were also sighted during aerial surveys at Lincs wind farm, although species identification could not be determined. However, due to the lack of recorded sightings, a density estimate could not be reliably calculated.

9.2 The Project geophysical surveys

Throughout the 2021 geophysical survey, there were low numbers of harbour seal sightings and unidentified seal sightings, which could have been harbour seals (Seiche, 2022b). During the 2022 survey, one harbour seal and 16 unidentified seals were recorded, which could also have been harbour seals (Seiche, 2022a). However, due to the low number of recorded sightings, a density estimate could not be reliably calculated.

9.3 Haul outs

9.3.1 MU

The Project is located within the Southeast England MU for seals. The Southeast England MU harbour seal count has varied considerably over time (Figure 9-2). The count was a 50% lower in 1989 compared to 1988 as a result of the phocine distemper virus epizootic (PDV). The counts then increased by 6.6% p.a. between 1989 and 2002, however another PDV epizootic outbreak meant that the 2003 count was 30% lower than the 2002 count. Between 2003 and 2017 the counts increased then levelled off. However, in 2019 the count for the Southeast England MU was 27.6% lower than the mean count between 2012-2018, which was thought to be the first indication of a declining population (SCOS, 2021). Counts for 2020 and 2021 have since confirmed that the population has declined. For all sites between Donna Nook and Scroby Sands, there has been a ~30% decline in harbour seals counts compared to the mean of the previous five years (2019–2022 mean count = 3,132; 2014–2018 mean count = 4,296) (SCOS, 2023). The count for The Wash and North Norfolk SAC has decreased by ~19% (2019–2022 mean = 2,758; 2015-2018 mean = 3,399), Donna Nook counts have shown a 57% decrease and Scroby Sands showed a 70% decrease (SCOS, 2023).

The latest August haul-out data for harbour seals in the Southeast England MU is for 2021 where 3,505 harbour seals were counted (SCOS, 2023). This count can be scaled by the estimated proportion hauled-out (0.72, 95% CI: 0.54-0.88) (Lonergan *et al.*, 2013) to provide an estimate of 4,868 harbour seals in the Southeast England MU in 2021 (95% CI: 3.980 - 6.490).



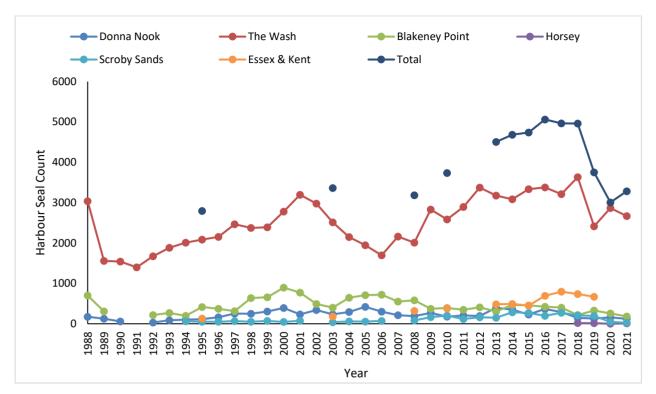


Figure 9-2 Harbour seal haul-out counts across the Southeast England MU over time. Data from SMRU.

As shown in Figure 9.5, The Wash and North Norfolk SAC populations recovered from the PDV outbreak in 2002, reaching a peak between 2014 and 2015. The population has since been in decline. Compared to the previous 5 year mean, The Wash and North Norfolk SAC has decreased by ~19% (2019 – 2022 mean = 2758: 2015-2018 mean = 3399), Donna Nook has decreased by 57% and Scroby Sands has decreased by 70% (Figure 9-4). Since The Wash and North Norfolk SAC contains the majority of harbour seals in Southeast England, this decline has been described as "of immediate and serious concern" (SCOS, 2023). This declining trend is being seen not only in the August moult counts, but also in the June/July breeding counts. The 2022 harbour seal pup count in the Wash and the coast from Donna Nook to Blakeney survey area was 1,140, 24% lower than the count of 1,498 in 2018 (SCOS, 2023).



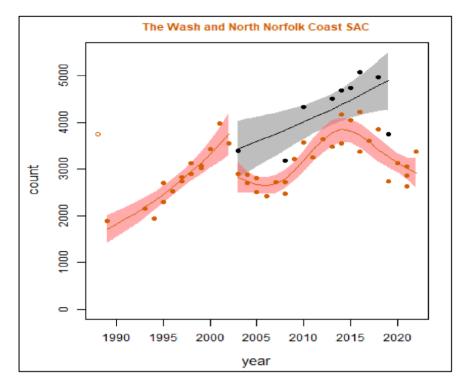


Figure 9-3 August counts of harbour seals in the Wash and North Norfolk SAC (red) (the Wash and Blakeney Point, between 1988 and 2022) and the total for the Southeast England MU (grey) (Donna Nook to Goodwin Sands between 2002 and 2019) (SCOS, 2023).

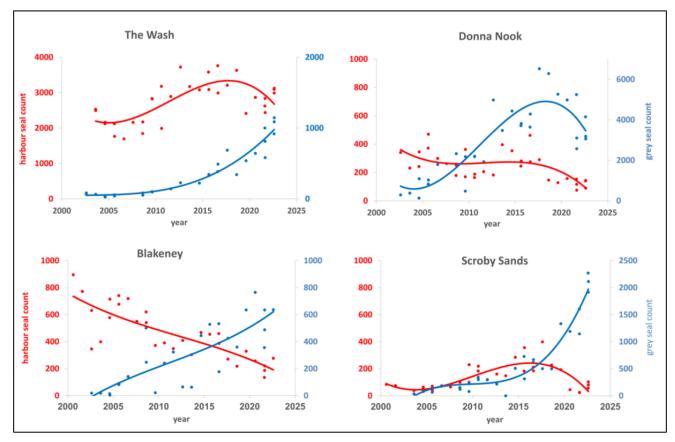


Figure 9-4 The counts of harbour seals (red) and grey seals (blue) from 2002 to 2021 in the Wash, Donna Nook, Blakeney Point and Scroby Sands (SCOS, 2023) (see SCOS-BP 22/05).

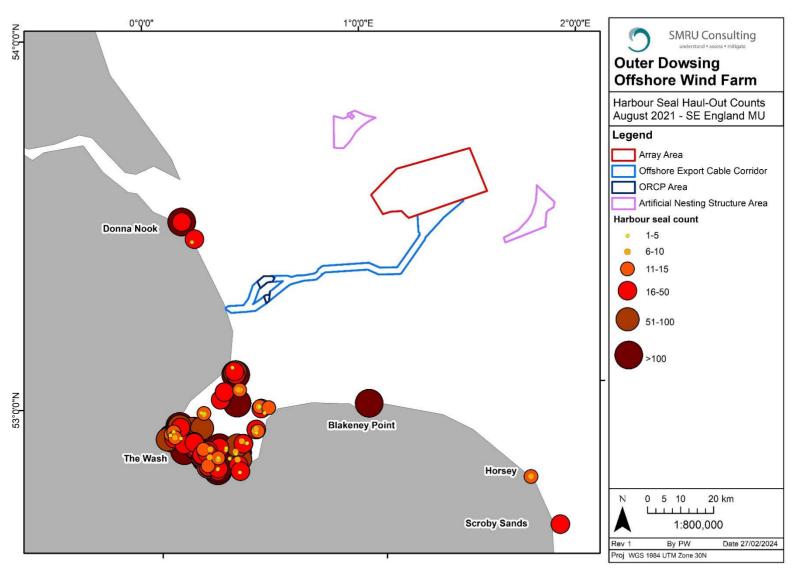


Figure 9-5 Harbour seal haul-out counts from August 2021 (data provided by SMRU).



9.3.2 Donna Nook

Donna Nook is the closest haul-out, located ~5.6 km to the north of the offshore ECC (Figure 9-5), where 146 harbour seals were counted in 2018 and 128 harbour seals were counted in 2019. In 2020, 157 harbour seals were counted at the Donna Nook haul-out and this decreased to 122 in 2021 (SCOS, 2022, 2023) (see SCOS-BP 21/06 and SCOS-BP 22/04).

9.3.3 The Wash

The Project is located ~15.7 km north of The Wash haul-out cluster (Figure 9-5). As a collective 3,632 and 2,415 harbour seals were counted in The Wash in 2018 and 2019, respectively. In 2020, the haul-out counts increased to 2,866 and then decreased to 2,667 in 2021 (SCOS, 2022, 2023) (see SCOS-BP 21/06 and SCOS-BP 22/04).

9.3.4 Greater Thames Estuary

There are also several haul-outs located within the Greater Thames Estuary Area to the southwest of the Project (within 200 km from the site boundary) (Figure 9-5). As a collective, all haul-out sites in the Greater Thames Estuary Area supported a count of 738 harbour seals in 2018 and 671 harbour seals in 2019. Until 2019, the August counts for the Greater Thames Estuary area showed an overall increasing count between 2003 to 2019 at a rate of 8.99% p.a. (Figure 9-6) (Cox *et al.*, 2020). There were no surveys carried out the Greater Thames Estuary during 2020 due to CIVID restrictions. In 2021, the survey gave a harbour seal count of 498, which equates to a population estimate of 692 (566 – 922) (SCOS, 2022) (see BP 21/07). Additional surveys were conducted in 2022, but data are not yet available, though the 2021 survey highlighted the first evidence of a decline in this area.

In general, harbour seals haul-out in smaller groups throughout the Greater Thames Estuary area compared to grey seals, with larger group sizes concentrated in the coastal Dengie Flats, Hamford Water, Swale Estuary, Pegwell Bay and outer sandbanks Margate Sands, Goodwin Knoll and Goodwin Sands (Figure 9-7). While harbour seal pups were counted across the Greater Thames Estuary area in 2018, pup counts were highest in Hamford Water and Dengie Flats (Figure 9-7).

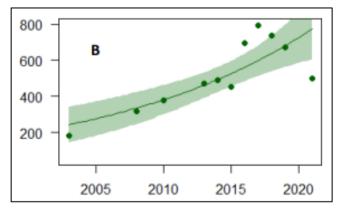


Figure 9-6 2003-2019 counts and fitted trend for the Thames harbour seal population (95% CI shown). Figure taken from SCOS (2022) (see SCOS-BP 21/07).

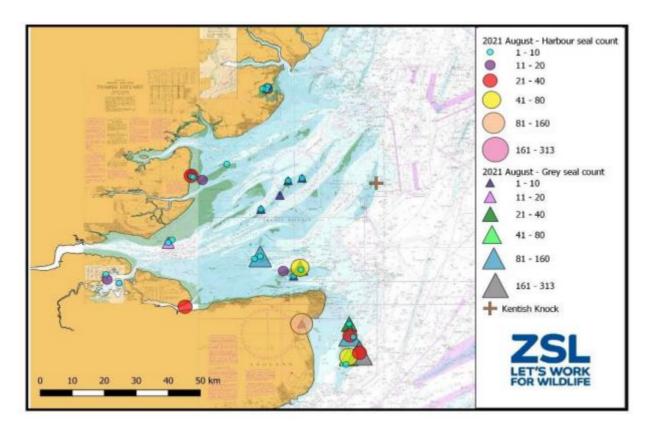


Figure 9-7 The harbour seal and grey seal counts from 2021. Figure taken from (SCOS, 2022) (see SCOS-BP 21/07).

9.4 At-sea density

As expected, given the location of the main haul-out sites and the limited foraging ranges of harbour seals, the areas of highest at-sea density within the Southeast England MU are concentrated in the waters within and extending out of The Wash and the Greater Thames Estuary. The predicted densities within the Project site boundary (array area) are low with average densities of 0.04 harbour seals/km² and a maximum density of 0.21 harbour seals/km² (Figure 9-8). Harbour seal densities are significantly higher within the offshore ECC, with a maximum of 0.86 harbour seals/km². Within the 50 km buffer of The Project, there are predicted to be ~1,670 harbour seals at any one time, which equates to an average density of 0.13 harbour seals/km². There is a predicted maximum density of 2.10 harbour seals/km² in the southwest of the 50 km, extending out of The Wash SAC. In general, harbour seal hotspots extend offshore 50 km from the associated SAC (Carter *et al.*, 2022), and therefore, usage in the area is not expected to be uniform. The density estimate from the habitat usage maps is considered to be the most reliable, and therefore will be taken forward to be used in the impact assessment.

9.5 Telemetry

In total, there have been 86 harbour seals tagged in the Southeast England MU, 67 of which were tagged in The Wash and 19 were tagged in the Thames area (Margate and Hadley Sands). Data from these 86 harbour seals indicate high use of the Project site, the Site Boundary and Offshore ECC (Figure 9-9). Within the 50 km buffer of the Site Boundary, there are telemetry track data recorded from 69 harbour seals. All 69 of the seals within the 50 km buffer showed connectivity with The Wash SAC. This connectivity between the harbour seals in the vicinity of the Project and The Wash SAC will need to be considered in the HRA.



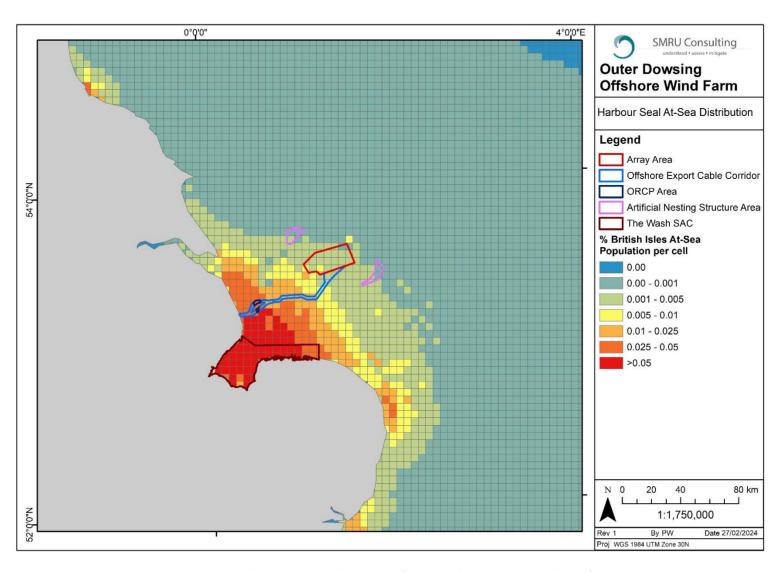


Figure 9-8 Harbour seal at-sea distributions (Carter et al., 2020, Carter et al., 2022).



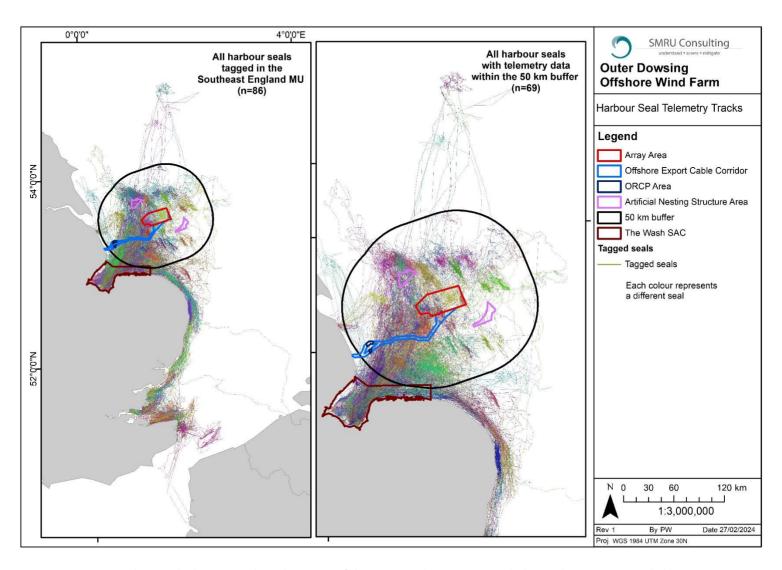


Figure 9-9 Harbour seal telemetry tracks in the vicinity of the Project and connectivity with The Wash SAC. Data provided by SMRU.



9.6 Summary

Harbour seals are expected to be present in the area year-round, with significantly higher at-sea densities in the offshore ECC and close to shore compared to the array area. The quantitative impact assessment will assess impacts against the East England MU, using the at-sea habitat preference maps for the best available information on at-sea densities for harbour seals.

10 Grey seals

The overall assessment of conservation status of grey seals in UK waters has been assessed as Favourable with an overall improving trend in conservation status (JNCC, 2019b). The most recent UK wide abundance estimate for grey seals was 162,000 individuals (approx. 95% CI: 46,700-178,500) at the start of the 2022 breeding season, based on the 2019 pup production estimates from surveyed colonies (SCOS, 2023). In the UK, grey seal August counts between 2016 and 2021 were highest in Southeast England (7.694), the North Coast and Orkney (8,599), Northeast England (6,5174) and the Western Isles (5,773) (Figure 10-1).

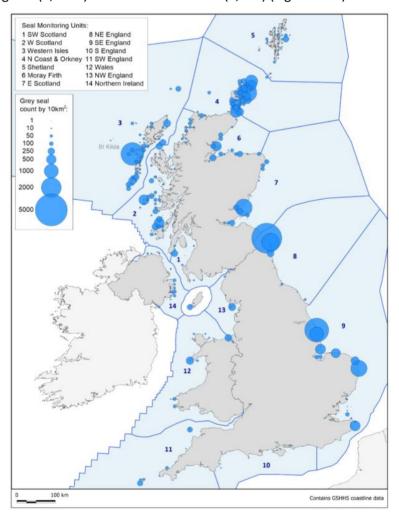


Figure 10-1 August distribution of grey seals around the British Isles by 10 km squares based on the most recent available haul-out count data collected up until 2021 (SCOS, 2023).

10.1 Breeding sites

The grey seal pup production in the North Sea has increased by 23% between 2016 and 2019 (Figure 10-2) (SCOS, 2023). The nearest key breeding region for grey seals to the Project is the Donna Nook and East Anglia area of the North Sea region which encompasses the breeding colonies at Donna Nook, Blakeney Point and



Horsey. The latest pup production estimate was 2,209 pups at Donna Nook in 2022, 3,796 pups at Horsey in 2022, 3,399 pups at Blakeney Point in 2019 and 2,823 pups at the Farne Islands in 2019 (Figure 10-3).

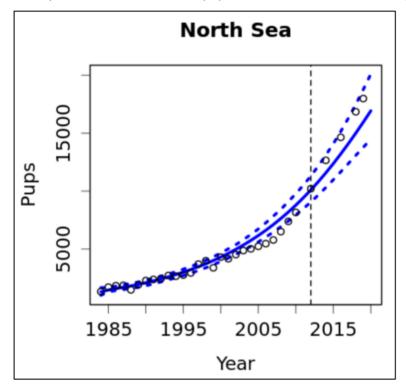


Figure 10-2 Posterior mean estimates of pup production (solid lines) and 95% confidence intervals (dashed lines) from the model grey seal population dynamics, fit to pup production estimates for regularly monitored colonies in the North Sea. The blue line at 2012 indicates the change to a new camera system. Figure taken from SCOS (2023).

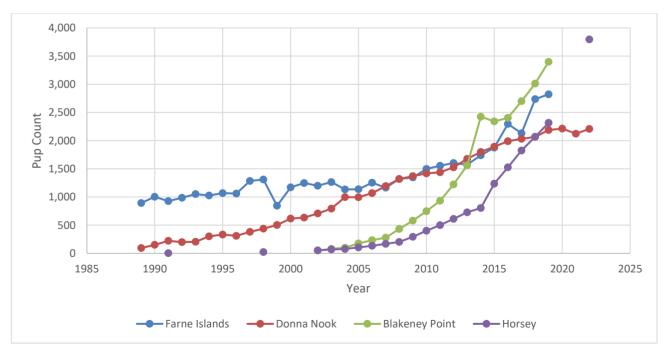


Figure 10-3 Grey seal pup counts at breeding colonies in the Southeast and Northeast England MUs. Data from SMRU.



10.2 Site-specific surveys

A total of 93 grey seals were identified in the 31 site-specific baseline surveys (5% of the marine mammal sightings) (Table 10.1). Sightings occurred throughout the year and across the survey area (HiDef, 2023). Additionally, 365 unidentified seals were sighted across the 31 surveys, throughout the year and across the survey area (Table 9.1) (HiDef, 2023).

Table 10.1 Number of grey seals recorded from the HiDef surveys (the Project array area plus 4 km buffer) between March 2021 and February 2023 (HiDef, 2023).

	N	lar	Α	pr	М	ay		lun	J	ul	A	ug	Se	ep	Oct	Nov	Dec	Jan	Feb
Year 1		0		I		l		I		l	4	4	-	7	10	4	I	8	2
Year 2	ı	0	3	0	5	5	3	5	0	6	9	4	3	3	3	0	0	2	1

Similar to harbour seals, during surveys of nearby wind farms (including Triton Knoll, Lincs, Dudgeon and Sheringham Shoal Extensions) grey seals have been observed from surveys at all sites. However, density estimates could not be reliably calculated to the lack of recorded sightings and dedicated surveys.

10.3 The Project geophysical surveys

Throughout the 2021 geophysical surveys, there were low numbers of grey seal sightings and unidentified seal sightings, which could have been grey seals. During the 2022 surveys, 19 grey seals were sighted and 16 unidentified seals were recorded, which could have been grey seals (Seiche, 2022a). However, due to the low number of recorded sightings, a density estimate could not be reliably calculated.

10.4 Haul outs

10.4.1 MU

Given the wide-ranging nature of grey seals (frequently travelling over 100 km between haul-out sites) (SCOS, 2021), and the large degree of movement between the north east and south east of England, it is not appropriate to consider the Southeast England MU as a discrete population unit in isolation, therefore the relevant population against which to assess impacts should be the combined Southeast and Northeast England MUs. The latest August haul-out count for grey seals in Southeast England MU is from the 2021 survey where 7,694 grey seals were counted (SCOS, 2023). The latest August haul-out count data for grey seals in Northeast England is from the 2022 survey where 6,517 grey seals were counted (SCOS, 2023). The 2021 August haul-out count for the Southeast England MU combined with the count for the Northeast England MU (14,211 combined total) can be scaled by the estimated proportion hauled-out (0.2515; 95% CI 0.2145 – 0.2907) (SCOS, 2022) to produce an estimate of 65,505 grey seals in the Southeast and Northeast England MUs combined (95% CI: 48,885 – 66,252).

10.4.2 Farne Islands

In the Northeast England MU, most grey seal haul-outs are located within the Farne Islands (1,608 in 2018 (SCOS, 2021)), located $^{\sim}$ 265 km north of the Project (Figure 10-6). August grey seal counts are increasing in the Northeast England MU (Figure 10-4).

10.4.3 Donna Nook

Most grey seal haul-outs in the Southeast England MU are located in Donna Nook (6,288 in 2018 and 5,265 in 2019), which is $^{\sim}$ 9.9 km north of the Project offshore ECC (Figure 10-6). In 2020, Donna Nook held 60% of the grey seal counts in the Southeast England MU but has shown a decline in recent years (4,982 in 2020 and 3,897 in 2021) (Figure 10-5) (SCOS, 2022, 2023) (see SCOS-BP 21/06 and SCOS-BP 22/04).



10.4.4 Scroby Sands

Scroby Sands is the second largest haul-out site (497 in 2018 and 1,333 in 2019), located ~87.6 km to the southeast of the Project offshore ECC (Figure 10-6). In recent years, the counts in this area have increased from 1,191 in 2020 to 1,377 in 2021 (SCOS, 2022, 2023) (see SCOS-BP 21/06 and SCOS-BP 22/04).

10.4.5 The Wash

There are also several haul-outs located within The Wash ~15.3 km south of the Project (Figure 10-6). As a collective, the haul-outs counts within The Wash were 253 grey seals in 2018 and 540 in 2019. Grey seal counts in The Wash have increased in recent years with 644 grey seals counted in 2020 and 799 counted in 2021 (SCOS, 2022, 2023) (see SCOS-BP 21/06 and SCOS-BP 22/04). In addition, grey seal distribution within The Wash has expanded with grey seals now identified on 21 sites, including sheltered creeks known to be used by harbour seals (Figure 10-7) (SCOS, 2022, 2023) (see SCOS-BP 22/05).

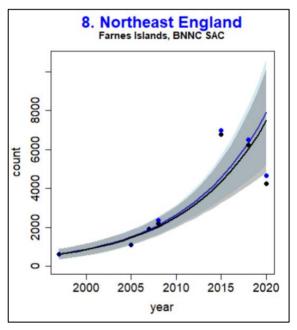


Figure 10-4 The predicted trend and associated 95% confidence intervals for the grey seal August haul-out counts in the Northeast England MU. The black circles indicate the SAC counts, the blue circles indicate the MU wide counts (SCOS, 2023) (see SCOS-BP 22/02).

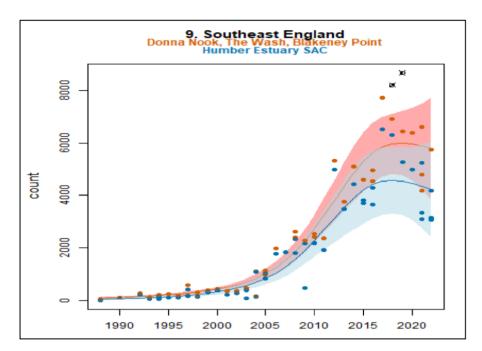


Figure 10-5 Counts of grey seals on the coast between Donna Nook, the Wash and Blakeney Point (red), and at Donna Nook (Humber Estuary SAC) (blue) during the August surveys between 1988 and 2022, showing 95% confidence intervals (SCOS, 2023) (see SCOS-BP 22/05).



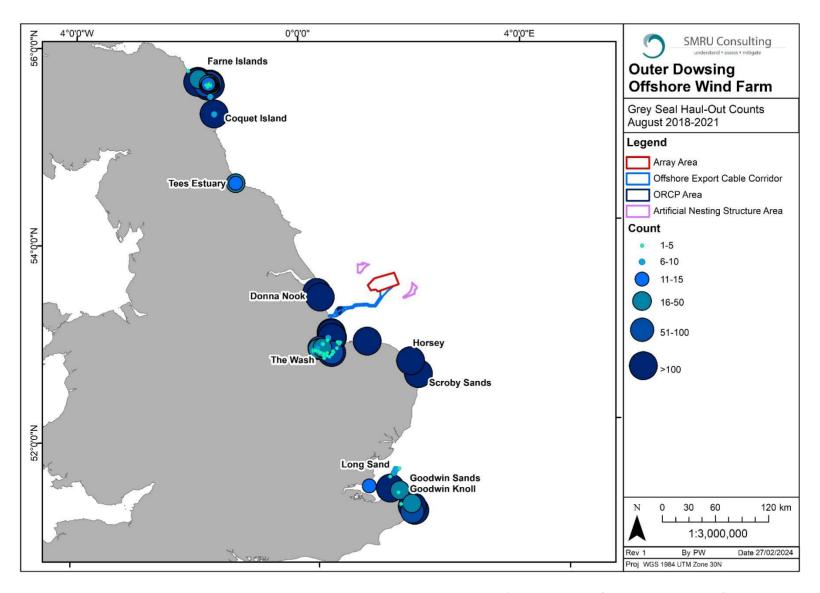


Figure 10-6 Grey seal haul-out counts in the Southeast and Northeast England MUs from 2018 to 2021 (data provided by SMRU).



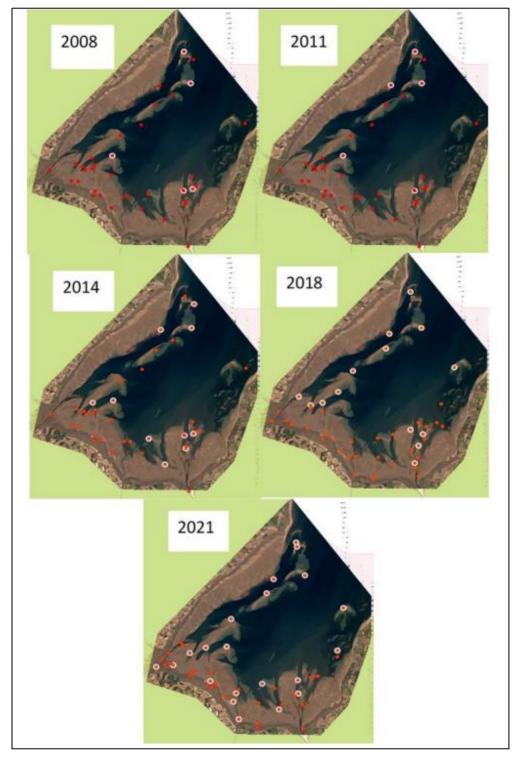


Figure 10-7 The distribution of harbour (red) and grey (white) seals in the Wash from 2008 to 2021 (SCOS, 2023) (see SCOS-BP 22/05).

10.4.6 Greater Thames Estuary Area

Within the Greater Thames Estuary Area to the southwest of the development (within around 200 km from the ES Boundary) there are several haul-outs (Figure 10-6). As a collective, all haul-out sites in the Greater Thames Estuary Area (Long Sand to Goodwin Sands/Knoll) supported a count of 596 grey seals in 2018 and



772 grey seals in 2019. Overall, there has been an increase in counts in the Greater Thames Estuary area (Figure 10-8), specifically between 2003 to 2019 at a rate of 12.62% p.a. (Cox et al., 2020). In this area, grey seals have been counted in highest numbers at offshore sandbanks such as Kentish Knock and Goodwin Sands (Figure 9-7, Figure 10-9). The most recent count in this area was undertaken in 2021, where 749 grey seals were counted, which equates to a population estimate of 2,978 (2,577 – 3,492) grey seals (SCOS, 2022) (see SCOS-BP 21/07). However, during 2021, the Kentish Knock sandbanks were excluded due to the proximity to surrounding wind farms, and therefore, this is suggested to be the reason for the decline in counts rather than a population decline (SCOS, 2022).

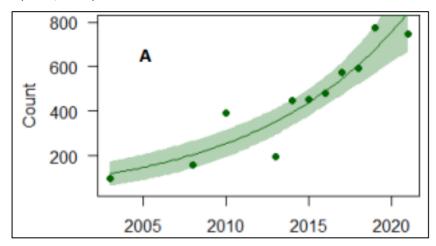


Figure 10-8 2003-2019 counts and fitted trend for the Thames grey seal population (95% CI shown). Figure taken from SCOS (2022) (see SCOS-BP 21/07).

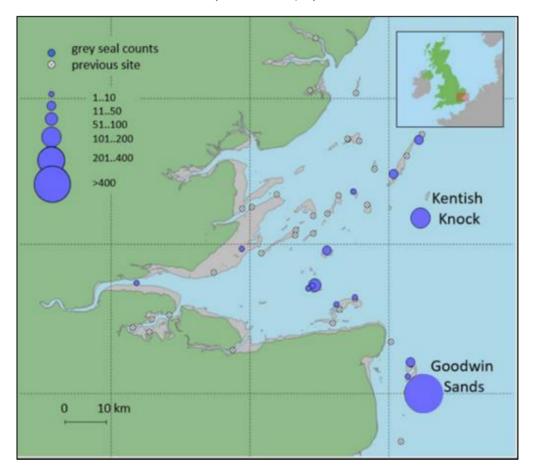


Figure 10-9 Count of grey seals and other sites occupied by grey seals in previous surveys. Figure taken from Cox et al. (2020).



10.5 At-sea density

In the Southeast and Northeast England MUs, grey seal at-sea distribution is primarily located in the waters extending out of the Humber Estuary and the Farne Islands. Specifically, there are hotspots in grey seal density >150 km offshore from the Humber Estuary SAC (Carter *et al.*, 2022). There are high densities of grey seals between the Humber Estuary and The Wash SAC, and in the vicinity of the Project (Figure 10-10). Grey seal density estimates within the Project site boundary are on average 0.76 grey seals/km² and reach a maximum of 1.25 grey seals/km². Maximum grey seal densities within the ECC are much higher at 4.92 grey seals/km². Within the 50 km buffer of the Project, there are predicted to be ~11,018 grey seals at any one time, which equates to an average density of 0.85 grey seals/km². Usage within the 50 km buffer is not expected to be uniform, with higher densities towards the coast and the Humber Estuary SAC. At present, the density estimate from the habitat preference map is the most reliable, and therefore will be taken forward to be used in the impact assessment.

10.6 Telemetry

In total, there have been 64 grey seals tagged in the east England MUs (33 from the Southeast England MU and 31 from the Northeast England MU). These seals were tagged at the Farne Island, Donna Nook and Blakeney between 1988 and 2015. Data from these 64 tagged grey seals indicate high use of the Project Offshore ECC and moderate use of the Site Boundary (array area) (Figure 10-11 Left).

Of these 64 tagged grey seals, 32 had telemetry data within the 50 km buffer of the array area (Figure 10-11 Right). The telemetry track data indicates high connectivity between the 50 km buffer and the Humber Estuary SAC (n=29) and less connectivity with the Berwickshire and North Northumberland Coast SAC (n=10). This connectivity between the grey seals in the vicinity of the Project and the SACs will be considered in the HRA.



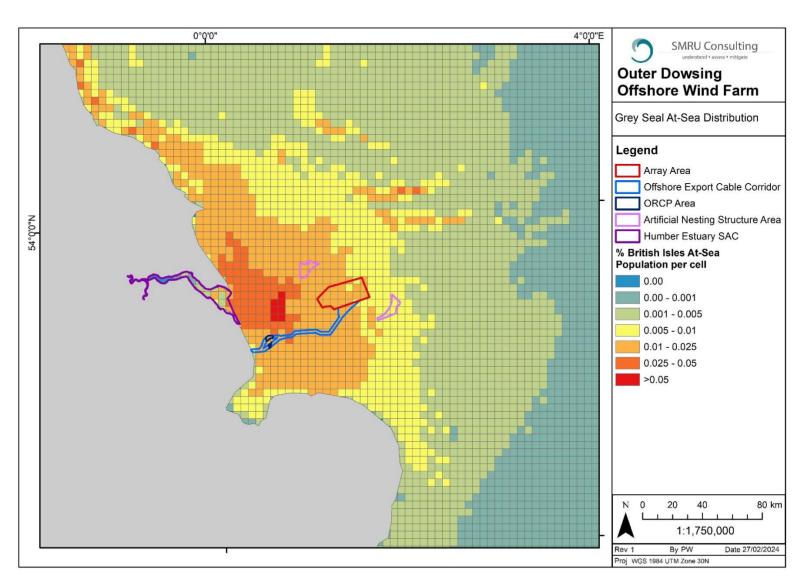


Figure 10-10 Grey seal at-sea distributions (Carter et al., 2020, Carter et al., 2022).



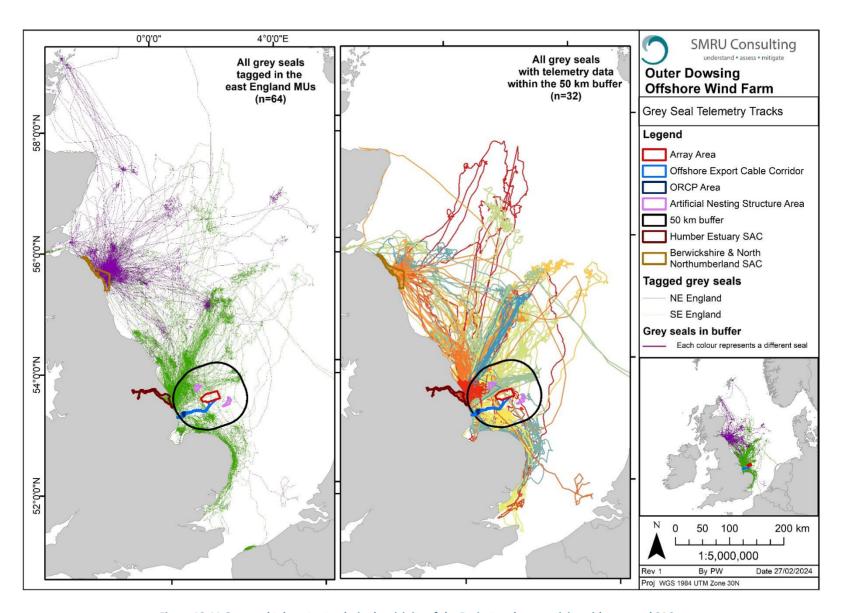


Figure 10-11 Grey seal telemetry tracks in the vicinity of the Project and connectivity with grey seal SACs.



Data collected by Vincent *et al.* (2017) shows clear evidence that grey seals exhibit wide-ranging movement behaviours. Grey seals tagged in France and the Netherlands recorded telemetry data throughout the Wadden Sea and Southeast England MU, with fewer tracks extending into the vicinity of the Project and the Northeast England MU indicating there are limited transboundary effects (Figure 10-12).

Given that the data presented in Vincent *et al.* (2017) show connectivity between France, the Netherlands and the Southeast England MU, this highlights a limitation of the current seal habitat preference maps. These current maps include grey seals only tagged in the UK, and therefore do not account for the presence of grey seals from France or the Wadden Sea. Therefore, the seal habitat preference maps may potentially underestimate the true density of grey seals present in the vicinity of the Project; though these remain the best density data source currently available.

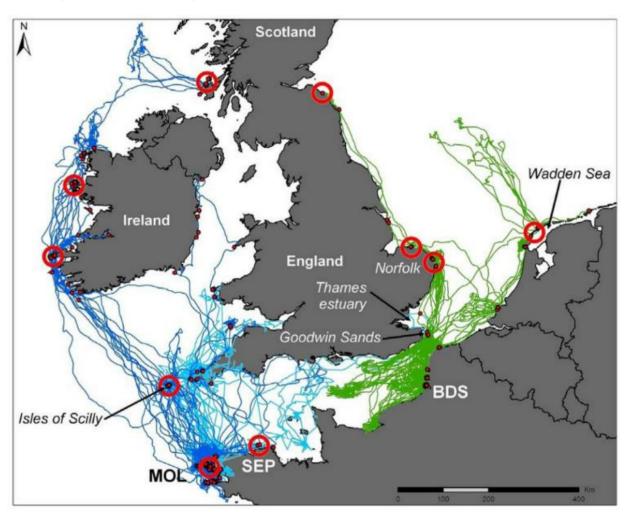


Figure 10-12 Telemetry tracks for grey seals tagged in France (Vincent *et al.*, 2017). Tracks from MOL (15 individuals tracked by Argos tags from 1999 to 2003, in light blue, and 19 individuals tracked by GPS/GSM tags from 2010 to 2013, in dark blue) and BDS (11 individuals tracked in 2012, in green). Red dots indicate haul-out locations of the seals. Thick, red circles indicate breeding locations, as suggested from the activity budget of the seals.

10.7 Summary

Grey seals are expected to be present in the area year-round, with high densities of grey seals between the Humber Estuary and The Wash SAC, and in the vicinity of the Project. The quantitative impact assessment will assess impacts against the combined Southeast & Northeast England MUs, using the at-sea habitat preference maps for the best available information on at-sea densities for grey seals.



11 Conclusions

The Project site-specific surveys alongside the literature review of other data sources confirmed the presence of six marine mammal species regularly present in the Project area (Table 11.1). These six species will be taken forward to the quantitative impact assessment at ES. In addition to identifying the MU and density estimate to take forward to quantitative impact assessment (Table 11.1), this baseline has also identified various marine mammal protected areas that will be given further consideration in the HRA:

- Southern North Sea SAC (harbour porpoise)
- Moray Firth SAC (bottlenose dolphins)
- Southern Trench MPA(NC) (minke whale)
- Wash and North Norfolk SAC (harbour seal)
- Humber Estuary SAC and Berwickshire and North Northumberland Coast SAC (grey seal).

Table 11.1 Species, MU size and density estimates recommended for the use in the Project quantitative assessment.

Species	MU	MU Size	MU Ref	Density (#/km²)	Density ref
Harbour porpoise	North Sea	346,601	IAMMWG (2023)	1.63	HiDef (2023) site- specific surveys
				Grid cell specific	SCANS III density surface (Lacey <i>et al.</i> , 2022)
				0.6027	SCANS IV block NS-C (Gilles et al., 2023)
Bottlenose dolphin	Greater North Sea	2,022	IAMMWG (2023)	0.110 within 2 km from coast,	Calculated density for 2 km from coast.
				0.0419 beyond	SCANS IV Block NS-C beyond (Gilles <i>et al.</i> , 2023)
White-beaked dolphin	Celtic and Greater North Seas	43,951	IAMMWG (2023)	Grid cell specific	SCANS III density surface (Lacey <i>et al.</i> , 2022)
				0.0149	SCANS IV block NS-C (Gilles et al., 2023)
Minke whale	Celtic and Greater North Seas	20,118	IAMMWG (2023)	Grid cell specific	SCANS III density surface (Lacey <i>et al.</i> , 2022)
				0.0068	SCANS IV block NS-C (Gilles et al., 2023)
Harbour seal	Southeast England	4,868	SCOS (2023) counts scaled using	Grid cell specific	Habitat preference (Carter <i>et al.</i> , 2020, Carter <i>et al.</i> , 2022)



Species	ми	MU Size	MU Ref	Density	Density ref
				(#/km²)	
			Lonergan et al. (2013)		
Grey seal	Southeast & Northeast England	65,505	SCOS (2023) counts scaled using SCOS (2022) BP 21/03	Grid cell specific	Habitat preference (Carter et al., 2020, Carter et al., 2022)



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